

# Deep-brain stimulation of the human nucleus accumbens-medial septum enhances memory formation

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**Deep-brain stimulation of the human nucleus accumbens-medial septum  
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72 **Deep-brain stimulation (DBS) is a potential novel treatment for memory dysfunction. Current**  
73 **attempts to enhance memory focus on stimulating human hippocampus or entorhinal cortex.**  
74 **However, an alternative strategy is to stimulate brain areas providing modulatory inputs to**  
75 **medial temporal memory-related structures, such as the nucleus accumbens (NAc), which is**  
76 **implicated in enhancing episodic memory encoding. Here, we show that NAc-DBS improves**  
77 **episodic and spatial memory in psychiatric patients. During stimulation, NAc-DBS increased**  
78 **the probability that infrequent (oddball) pictures would be subsequently recollected, relative to**  
79 **periods off stimulation. In a second experiment, NAc-DBS improved performance in a virtual**  
80 **path-integration task. An optimal electrode localization analysis revealed a locus spanning**  
81 **postero-medio-dorsal NAc and medial septum predictive of memory improvement across both**  
82 **tasks. Patient structural connectivity analyses, as well as NAc-DBS-evoked hemodynamic**  
83 **responses in a rat model, converge on a central role for NAc in a hippocampal-mesolimbic**  
84 **circuit regulating encoding into long-term memory. Thus, short-lived, phasic NAc electrical**  
85 **stimulation dynamically improved memory, establishing a critical on-line role for human NAc**  
86 **in episodic memory and providing an empirical basis for considering NAc-DBS in patients with**  
87 **loss of memory function.**

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89

90 The NAc is a small, targetable gateway with widely projected cognitive effects across the  
91 entire brain, including motivation and learning. Its key anatomical position linking mesolimbic  
92 dopaminergic and limbic structures, basal ganglia, mediodorsal thalamus and prefrontal cortex<sup>1,2</sup> has  
93 rendered this nucleus an attractive target for DBS treatment of medication-resistant psychiatric  
94 disorders<sup>3</sup>, and also implies that stimulating this structure may have far-reaching effects on  
95 cognition<sup>4,5</sup>. While cognitive studies on NAc function have primarily focused on reward processing<sup>6</sup>  
96 and reinforcement learning<sup>7</sup>, there is cross-species evidence from animal models<sup>2</sup> and human  
97 neuroimaging studies<sup>8-10</sup> for a role for NAc in upregulating episodic memory for salient events. This  
98 is thought to reflect the central position of this nucleus in a circuit linking the hippocampus, the brain  
99 structure critical for episodic memory<sup>11</sup>, to the dopaminergic ventral tegmental area (VTA)<sup>2</sup>. Beyond  
100 reward and salience, declarative memory formation in general has also been linked to the nucleus  
101 accumbens<sup>12</sup> and learning impairments similar to those seen after hippocampal lesions have been  
102 observed as a result of NAc lesions in non-human primates<sup>13</sup>. Furthermore, long-term improvements  
103 in cognitive or memory scores have been recorded following DBS of the nucleus accumbens<sup>14, 15</sup>.  
104 Cholinergic projections from the medial septum and diagonal band of Broca, which are located  
105 directly medially and posteriorly to the NAc, have also been shown to upregulate hippocampal  
106 activity<sup>16,17</sup> and lesions to basal forebrain cholinergic nuclei are known to impair memory<sup>18</sup>.

107 Direct, long-term, deep brain stimulation of the NAc (NAc-DBS) is employed in the management of  
108 several treatment-resistant psychiatric disorders, including obsessive-compulsive disorder (OCD)<sup>3</sup>,  
109 major depressive disorder (MDD)<sup>14</sup> and anorexia nervosa (AN)<sup>19</sup>. Still, relatively little is known  
110 about the cognitive effects following DBS to this structure and thus far, studies have focused on  
111 long-term changes of standard neuropsychological measures, which are influenced by practice and  
112 placebo effects. We hypothesized that transient NAc-DBS would modulate memory function in these  
113 patients in a controlled “ON-OFF” design and tested this hypothesis in two experiments (**Fig. 1**).

114 In experiment 1 (Exp 1), 8 OCD patients and one AN patient (**Table 1, Supplementary Table 1**)  
115 who underwent bilateral NAc electrode implantation (**Fig. 2a, Supplementary Fig. S1**) took part in  
116 a visual memory task up to 6 weeks after surgery, with the stimulator switched off during this  
117 interval. Given the role of NAc in processing reward and positive valence<sup>6, 8</sup>, as well as contextually  
118 salient stimuli<sup>9, 10</sup>, we presented patients with neutral, pleasant and infrequent (“oddball”) pictures  
119 (**Fig. 2b**). That is, presented images were salient either because of their positive valence, or their  
120 infrequent occurrence (perceptual oddballs; photographs of black and white objects, by contrast to all  
121 other stimuli which were color scenes). During encoding, images were presented in 6 ‘periods’ (all  
122 stimulus types presented per period), with the critical manipulation being the application of bipolar  
123 stimulation between two electrode contacts in NAc during periods 3 and 5 (**Fig. 2c**), using standard  
124 clinical settings (130 Hz, 3.5V, 60 $\mu$ s pulse width). One hour later, patients performed a surprise  
125 recognition memory test. All stimuli shown at encoding were presented, randomly intermixed with  
126 an equal number of new foil items. Patients were required to make a push-button response to indicate  
127 whether they had seen the picture before.

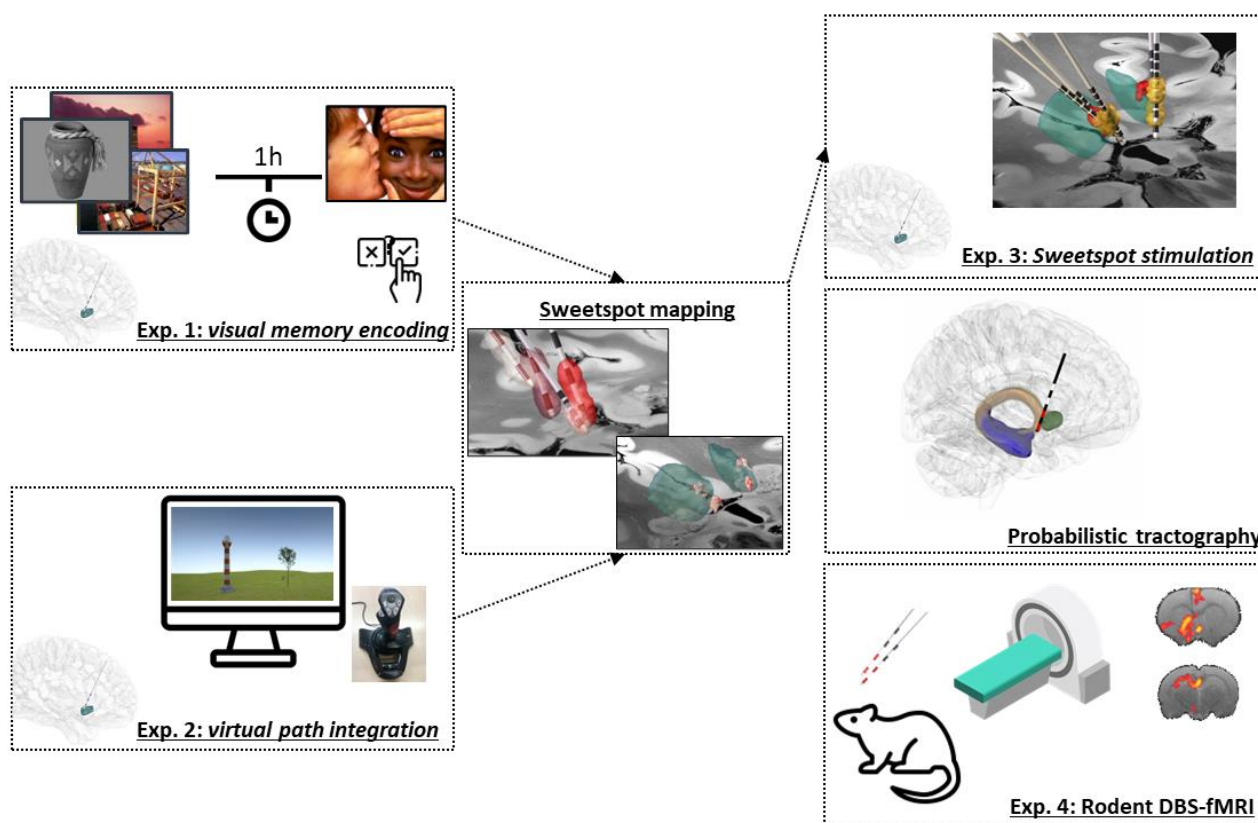
128 Given that memory enhancement for salient stimuli is thought to reflect engagement of a  
129 hippocampal-mesolimbic loop<sup>2</sup>, we hypothesized that hippocampal involvement would be critical to  
130 any NAc-DBS effects on memory. To specifically test hippocampal involvement, we employed a  
131 recognition task requiring “remember” (R), “know” (K) or “new” (N) decisions<sup>20</sup>. Remember  
132 responses indicated that the patient could consciously recollect elements of the study episode  
133 (considered hippocampal-dependent<sup>21</sup>). Know responses, thought to rely on anteromedial temporal  
134 cortex<sup>22</sup> (but see <sup>23</sup>), indicated a sense of familiarity with the picture without being able to recollect  
135 any contextual information about its previous occurrence. New responses indicated the stimulus was  
136 not presented at encoding. Memory performance on this task was compared to a separate group of 9  
137 patients with severe OCD but not undergoing DBS treatment, *i.e.*, control OCD group (cOCD)  
138 (**Supplementary Tables 2-3**).

139 In Exp 2, we applied an adapted version of a virtual-reality based path-integration task<sup>24</sup> in 11 OCD  
140 patients and one AN patient chronically treated with NAc-DBS (**Table 1, Fig. 2a**). The multifaceted  
141 nature of path-integration requires the ability to keep movement directions, movement speeds, and  
142 time periods in memory up to the point where the homing vector has to be computed<sup>25-27</sup>. Exp 2 was  
143 designed to test path integration as specifically as possible (for a detailed description, see<sup>24</sup>) and it is  
144 unlikely that the subject's performance in this task is driven by cognitive processes other than path  
145 integration. Evidence from rodent<sup>25, 26, 28</sup> and human studies<sup>24, 27, 29</sup> implicate the hippocampus in path  
146 integration. Exp 1 and 2 were therefore designed to recruit different aspects of memory function, but  
147 to be convergent in their hippocampal dependence. A further 12 healthy control subjects, matched in  
148 gender and age, also completed this experiment. In this task, patients navigated through a virtual  
149 environment featuring a grass landscape. Similar to previously established path-integration tasks<sup>30</sup>,  
150 each trial consisted of four successive steps: navigation to a goal location (indicated by an empty  
151 basket), which the subjects were instructed to remember; navigation to a distractor location  
152 (indicated by a tree); navigation to a retrieval location (indicated by a tree with an apple); and  
153 returning to the goal location and "dropping" the apple into the now invisible basket via a button  
154 press ("*drop location*"). The study comprised two subtasks, so that in half of the trials a lighthouse  
155 served as a local landmark (landmark-supported path integration; LPI), whereas in the other half of  
156 the trials no supportive spatial cues were available and participants had to rely on pure path  
157 integration (PPI). This task was also divided into 6 periods with stimulation applied either during  
158 periods three and five (7 patients) or during periods four and six (5 patients). Spatial memory  
159 accuracy was quantified by the Euclidean distance between the drop location and the correct goal  
160 location, referred to as "drop error". Post-operative electrode localizations were assessed to map  
161 memory improvement across the two studies to anatomical space ("sweetspot mapping"). In Exp 3, a  
162 further 3 patients performed the same task as in Exp 1, but this time stimulating the electrode  
163 contacts nearest to the memory sweetspot. Probabilistic tractography was applied to preoperative



164 MRI scans to verify that the stimulation site lies within a hippocampal-VTA circuit. In a fourth  
165 experiment, local and distant effects of NAc-DBS on neuronal activity were assessed with rodent  
166 functional MRI. A summary of all experiments and analyses reported here is provided in **Fig. 1**.

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168

169 **Figure 1 Experimental Outline.** The effect of deep brain stimulation to the nucleus accumbens was  
170 investigated in three experiments. In a first study, we tested whether NAc-DBS during encoding  
171 enhances subsequent recollection of visual stimuli (Exp 1) in patients suffering from obsessive-  
172 compulsive disorder and one patient with anorexia nervosa. In a second study, we tested for NAc-  
173 DBS effects on spatial memory accuracy in a virtual navigation task (Exp 2). Next, we applied  
174 spatial mapping of DBS-induced memory enhancement across the two memory tasks to establish a  
175 stimulation “sweetspot” in the posterior dorsomedial NAc extending into the medial septum,  
176 associated with memory enhancement. In a subsequent 3 patients, the task from Exp 1 was repeated,  
177 stimulating the electrode contacts nearest to the sweetspot (Exp 3). Analysis of patient pre-operative  
178 diffusion weighted images revealed that structural connectivity places the stimulation site within a

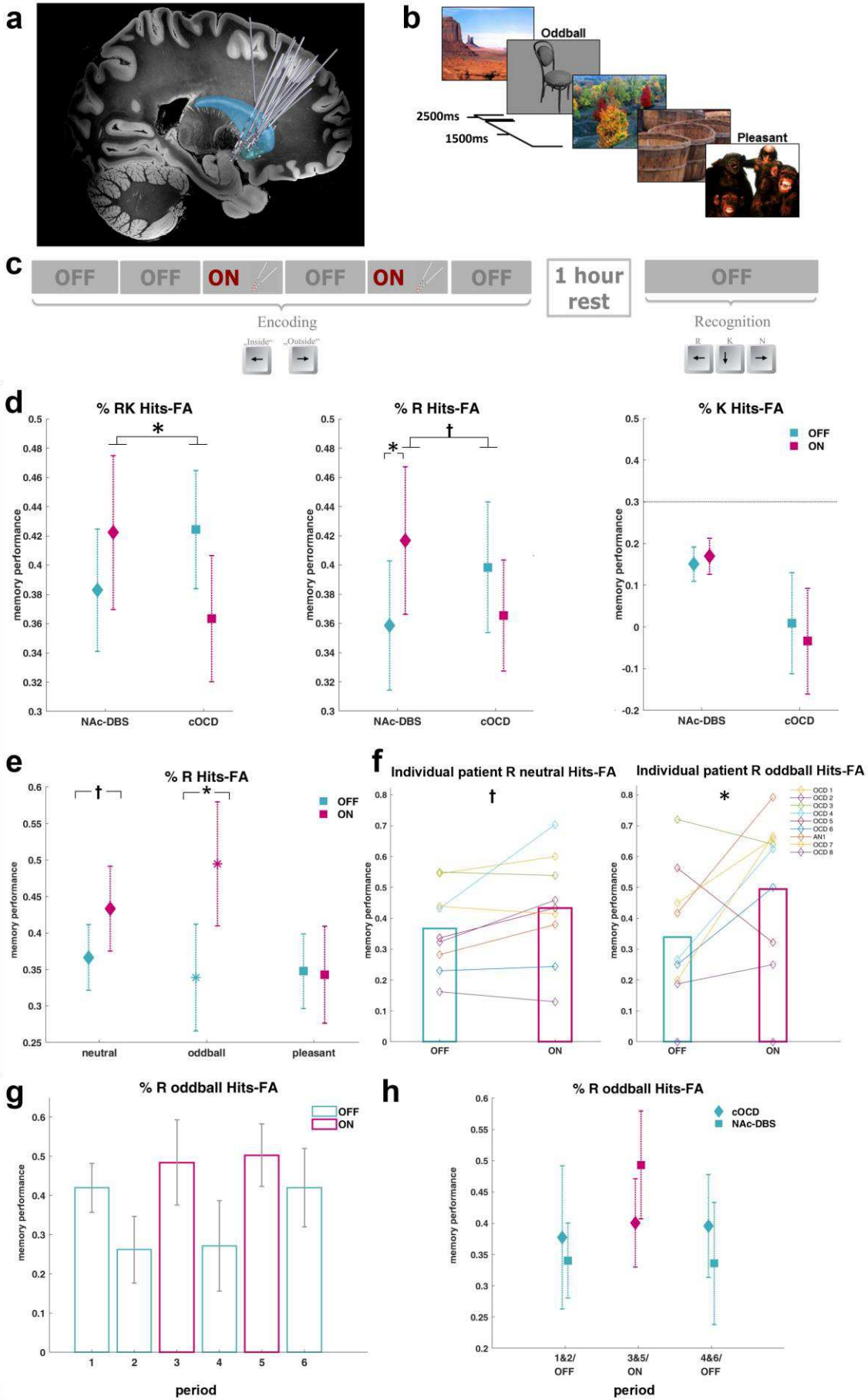
179 hippocampal-VTA circuit. In a fourth experiment, we acquired whole-brain functional MRI in rats to  
180 assess local and distant effects of NAc-DBS on neuronal activity (Exp 4).

## 181 RESULTS

### 182 NAc-DBS during encoding enhances subsequent recollection of visual stimuli

183 In Exp 1, the probability of subsequently correctly recognizing stimuli was calculated separately for  
184 all stimulus types (neutral, pleasant and oddball) encoded during OFF (1, 2, 4 and 6) and ON (3 and  
185 5) periods. This was done for both NAc-DBS and patient control groups (**Supplementary Data 1,**  
186 **Supplementary Tables 4-7**). “ON periods” in control patients refer to periods 3 and 5. We  
187 calculated correct remember and familiarity response rates, correcting for false alarm responses. For  
188 familiarity judgments, the independence assumption (familiarity =  $K/(1-R)$ )<sup>31</sup> was applied. In a first  
189 analysis, memory performance, calculated as correct hits-rate minus false alarm (FA), was pooled  
190 over R and K responses and compared between the two patient groups, for the three stimulus  
191 subtypes and the two DBS conditions. The group (DBS, control) by stimulation (ON, OFF) by  
192 subtype (neutral, pleasant, oddball) ANCOVA revealed a significant group by stimulation interaction  
193 ( $F_{1,15}=5.5$ ;  $p=0.033$ ;  $\eta_p^2=0.27$ ), with higher subsequent memory for pictures presented during NAc-  
194 DBS ON *vs.* OFF periods in DBS patients (**Fig. 2d**). Over all DBS patients, the mean ( $\pm$ sem) relative  
195 improvement in memory performance, calculated as (memory performance ON – memory  
196 performance OFF)/memory performance OFF, was 11.71 (6.93)% collapsing over stimulus subtypes.  
197 Given our hypothesis that NAc stimulation would modulate hippocampal function, and the reliance  
198 of remember judgments on the hippocampus, the analysis was then performed separately for the  
199 recognition response types. While familiarity judgements were not affected by DBS during encoding  
200 (group by stimulation K:  $F_{1,15}=0.041$ ;  $p=0.843$ ;  $\eta_p^2=0.003$ ), DBS patients, in comparison to cOCD  
201 patients, remembered more pictures encoded during ON *vs.* OFF periods, which reached trend level  
202 significance (group by stimulation R:  $F_{1,15}=4.2$ ;  $p=0.058$ ;  $\eta_p^2=0.22$ ). An analysis of the stimulated  
203 group only showed that NAc-DBS significantly improved encoding (stimulation R:  $F_{1,7}=6.3$ ;

204  $p=0.040$ ;  $\eta_p^2=0.47$ ) and interacted with stimulus type (stimulation by subtype R:  $F_{2,14}=4.05$ ;  $p=0.041$ ;  
205  $\eta_p^2=0.37$ ; **Fig. 2e-g, Supplementary Fig. S2**). That is, simple main effects indicated that DBS  
206 significantly improved subsequent recollection of oddball stimuli (ON-OFF= $17.49 \pm \text{sem } 7.49\%$ ,  
207 relative improvement  $72.14 \pm \text{sem } 29.61\%$ ;  $p=0.030$ ; 95% CI of ON-OFF difference = 2.3 to 32.7%),  
208 improved recollection of neutral stimuli at trend level significance (ON-OFF= $7.10 \pm \text{sem } 3.08\%$  ,  
209 relative improvement  $18.28 \pm \text{sem } 8.26\%$ ;  $p=0.066$ ; 95% CI of ON-OFF difference = -0.6 to 14.8%)  
210 and had no effect on the encoding of pleasant stimuli ( $p=0.883$ ). Recollection of oddball stimuli was  
211 enhanced by DBS in 7 out of 9 patients. In control patients (not undergoing DBS), on the other hand,  
212 recognition accuracy did not differ between the periods of encoding corresponding to stimulation ON  
213 (3 & 5) vs. OFF (1, 2, 4 & 6) ( $p=0.725$ ).



215 **Figure 2. NAc-DBS enhances visual memory encoding of infrequent stimuli (Exp 1).** **a.**  
216 Electrode positions of all patients participating in the memory tasks, with average patient-specific  
217 segmentations of caudate nucleus (blue) and NAc (green) and a 7 tesla ex vivo 100-micron T1 scan  
218 serving as background template (<https://openneuro.org/datasets/ds002179/versions/1.1.0>); **b.** In each  
219 of 6 periods, emotionally neutral and positive stimuli, and infrequent oddball stimuli, were presented.  
220 **c.** The stimulator was set to ON during the 3<sup>rd</sup> and 5<sup>th</sup> periods, with stimulator settings manipulated in  
221 the ~10s interval in between periods. Each patient completed a surprise recognition test one hour  
222 after encoding. **d.** Relative to the OFF periods, encoding during NAc-DBS enhanced the probability  
223 that stimuli would later be correctly recognized. In the stimulated group (NAc-DBS), conscious  
224 recollection (R) for pictures presented during ON periods was significantly greater relative to OFF  
225 periods, while familiarity judgements (K) were not affected by DBS and no differences were found  
226 between the corresponding ON (3&5) and OFF (1,2,4&6) periods in the patient control group  
227 (cOCD). The dotted horizontal line in the plot of K performance (right panel) indicates the lower y-  
228 axis limit of the homologous plots for RK and R performance (left and middle panel). **e.** Significant  
229 DBS effect on the encoding of oddball stimuli, trend level significance for neutral pictures. **f.**  
230 Individual patient data is shown, superimposed on average memory scores across patients. **g.**  
231 Average scores of DBS patients for oddball memory accuracy are shown for each of the six periods  
232 separately. **h.** Data for both patient groups are presented for the three phases of the task: Baseline  
233 (period 1&2), ON (3&5), post-DBS (4&6). Error bars represent standard errors of the mean; \*  
234  $p < 0.05$ ; †  $p < 0.1$ ; FA: false alarms

235

## 236 **Retrograde or anterograde effects of NAc-DBS**

237 The mesolimbic dopamine system is thought to modulate memory retroactively, by influencing  
238 consolidation of memories after encoding<sup>32</sup>, as well as proactively<sup>33</sup> which raises the possibility that  
239 stimulating the NAc could have influenced consolidation of preceding or succeeding periods. Only  
240 two periods, 2 and 6, could be used to analyze retrograde or anterograde effects, retrospectively,  
241 since the fourth period both preceded and succeeded DBS. Both retrograde and anterograde effects  
242 of DBS seemed unlikely here, because no significant differences between the DBS and patient  
243 control groups were found in period 2 ( $t_{16}=0.89$ ;  $p=0.390$ ), or in period 6 ( $t_{16}=0.06$ ;  $p=0.953$ ), for  
244 oddball stimuli R hits-FA. To examine a possible carry-over effect of DBS, periods 1 and 2 were

245 defined as baseline, periods 3 and 5 as ON and periods 4 and 6 as post-DBS and, analyzing R hits-  
246 FA for oddball pictures, a significant quadratic effect was observed ( $F_{1,7}=6.9$ ;  $p=0.033$ ;  $\eta_p^2=0.49$ ;  
247 **Fig. 2h**) which confirmed that encoding success peaked during ON periods, highlighting a critical  
248 on-line role of this structure for memory enhancement. Given a possibility of an effect of DBS on  
249 subsequent response tendencies, we further analyzed the overall false alarm rates of R and K  
250 responses during the subsequent recognition task and observed no significant differences between the  
251 two patient groups ( $t_{16}=0.04$ ;  $p=0.971$ ).

### 252 **Stimulation history modulates speed of responding at recognition**

253 Reaction times (RTs) for the encoding task (indoor/outdoor judgments made by button press) were  
254 not significantly affected by NAc-DBS (stimulation by stimulus type ANCOVA; DBS:  $F_{1,7}=1.9$ ,  
255  $p=0.205$ ; **Supplementary Table 8, Supplementary Fig. S3a**), nor were the number of missed  
256 encoding responses (stimulation by stimulus type ANCOVA; DBS:  $F_{1,7}=2.3$ ,  $p=0.170$ ;  
257 **Supplementary Table 9, Supplementary Fig. S3b**). History of stimulation (*i.e.*, whether a given  
258 stimulus had been presented during an OFF or ON period; OFF<sub>history</sub> or ON<sub>history</sub>) did, however,  
259 significantly alter RTs for subsequent R responses during recognition (stimulation by stimulus type  
260 ANCOVA DBS:  $F_{1,7}=12.5$ ,  $p=0.009$ ; **Supplementary Table 10, Supplementary Fig. S3c-d**), but  
261 not for subsequent K or missed responses. R responses that pertained to pictures presented during  
262 DBS were faster than during OFF periods.

### 263 **Stimulation history does not modulate subsequent stimulus emotional ratings**

264 In light of the role of NAc in appetitive, positive affective states<sup>34</sup>, it is possible that emotionally  
265 neutral stimuli presented during NAc-DBS undergo subjective modification of their affective value,  
266 which could in turn lead to their better retention in memory. To test for this, 3 patients completed an  
267 emotional rating task on all presented images following recognition testing. Patients rated each  
268 image in terms of arousal (on a scale from 1 to 9, non-arousing to most arousing) and valence (from

269 1, most negative valence, to 9, most positive). Ratings for images presented during encoding were  
270 separated according to whether they were presented during OFF periods (1, 2, 4 and 6) or during  
271 NAc-DBS (periods 3 and 5; ON). For each patient, ratings of arousal and valence for each stimulus  
272 type (neutral, pleasant, oddball) separately showed no modulation by stimulation history (all Mann-  
273 Whitney U tests  $P > 0.13$ ; **Supplementary Table 11**). Thus, history of stimulation did not modulate  
274 the subsequent affective appraisal of stimuli, making this an unlikely explanation for improved  
275 memory performance.

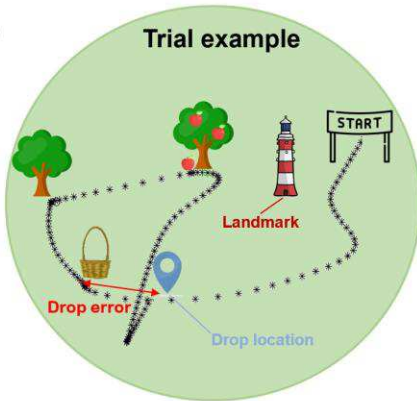
### 276 **NAc-DBS enhances spatial memory accuracy**

277 In Exp 2, the modulation of spatial memory by NAc-DBS was tested with a virtual path integration  
278 task (**Fig. 3a-b**). In contrast to Exp 1, patients that participated in Exp 2 were under chronic DBS  
279 treatment (for up to 9 years), with testing preceded by a 2h “wash-out” period of no stimulation.  
280 Comparing spatial memory performance between DBS patients and healthy control subjects at  
281 baseline (periods 1 and 2 combined) showed significantly higher drop errors in OCD patients than  
282 control subjects ( $t_{22}=2.4$ ;  $p=0.026$ ). Limiting analyses to periods 3 to 6 in the patient group, drop  
283 error was significantly lower during ON vs. OFF periods ( $t_{11}=2.46$ ,  $p=0.032$ ), confirming that NAc-  
284 DBS improved path integration performance. For subsequent analyses, all dependent variables were  
285 corrected for a learning effect across blocks, by estimating over all patients and subtracting a linear  
286 fit before averages were calculated across OFF (4&6/3&5) and ON (3&5/4&6) periods. We  
287 calculated the difference between each patient’s average performance per period and the overall  
288 performance of the control group in the corresponding period. In other words, for each of the  
289 experimental periods, we calculated the average drop error across all healthy controls to examine the  
290 extent to which each DBS patient deviated from this healthy control mean. We found that this  
291 deviation was significantly reduced in periods when DBS was ON vs. OFF ( $t_{11}=2.4$ ;  $p=0.034$ ; **Fig.**  
292 **3c-d**; **Supplementary Tables 12-13**; **Supplementary Fig. S4**). That is, when DBS was switched on,  
293 patients’ performance approached those of healthy control subjects.

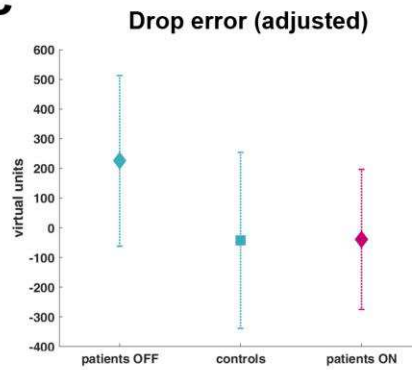
**a** Trial procedure



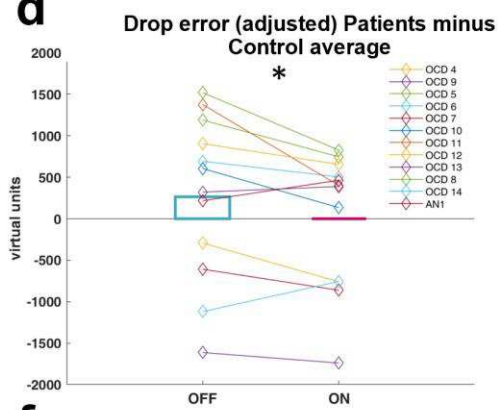
**b**



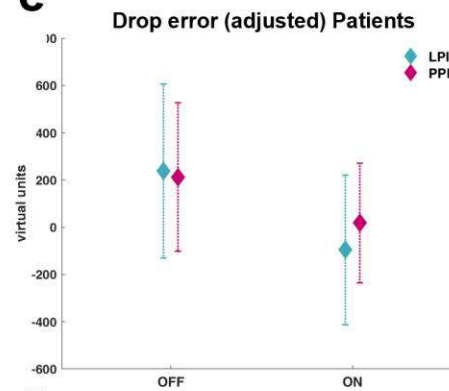
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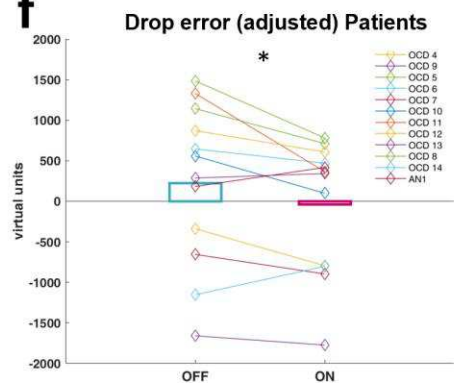
**d**



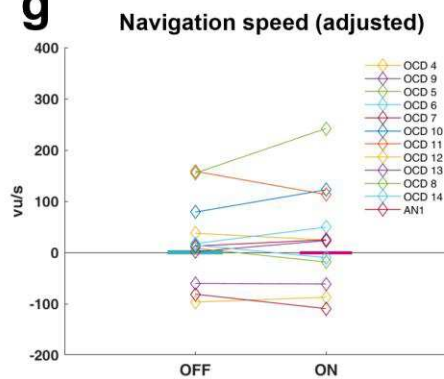
**e**



**f**



**g**



294

295 **Figure 3. NAc-DBS enhances spatial navigation performance for both pure path integration**  
 296 **and landmark-supported path integration (Exp 2).** **a.** Each path-integration trial consisted of  
 297 navigation toward the goal location (basket), toward a distractor location (tree without apple) and  
 298 finally toward the retrieval location (tree with apple). Afterwards, subjects were asked to return to  
 299 the remembered goal location within 60 seconds (retrieval phase) and “dropping” the apple at the



300 remembered goal location via a button press. Visual feedback informed the subjects about their  
301 response accuracy (feedback phase). **b.** The navigation path of one patient's trial is plotted as black  
302 dashed line. The drop error is calculated as the Euclidean distance between the drop location and the  
303 correct goal location. Half of the trials included a lighthouse serving as landmark. **c-d.** Average drop  
304 errors, adjusted for a linear learning effect across subtasks, for healthy control subjects and patients  
305 OFF vs. ON DBS. The performance difference between patients and healthy control subjects was  
306 significantly reduced by NAc-DBS. **e-f.** In both subtasks (*i.e.*, landmark-supported path integration  
307 and pure path integration) patients' drop errors were significantly improved in ON vs. OFF periods.  
308 **f.** Adjusted drop errors for patients OFF vs. ON DBS, averaged across navigation subtype. **g.** NAc-  
309 DBS did not influence the speed of navigation, measured as virtual units/second; Error bars represent  
310 standard errors of the mean; \*  $p < 0.05$

311

312 Next, we analyzed the effect of NAc-DBS on spatial memory separately within the patient group,  
313 including the two different subtasks. A subtask (PPI, LPI) by stimulation (ON, OFF) ANCOVA  
314 showed a significant main effect of stimulation ( $F_{1,10}=5.4$ ;  $p=0.042$ ;  $\eta_p^2=0.35$ ; **Fig. 3e-f**), with a  
315 stimulation-related reduction of drop error, evident in 9 out of 12 patients. The absence of a  
316 significant interaction indicated that this spatial memory improvement was independent of the type  
317 of navigation subtask.

### 318 **NAc-DBS does not affect speed of navigation**

319 Performance in this task also depended on the patients' ability to use the joystick and skilfully  
320 navigate through the virtual environment, especially with the limitation of 60 seconds during the  
321 retrieval phase. We calculated speed of navigation in terms of virtual units (vu) per second to test  
322 whether NAc-DBS influenced the patients' motor skills, but no significant difference was found  
323 between navigation speed during ON vs. OFF periods ( $F_{1,10}=0.1$ ;  $p=0.746$ ; **Fig. 3g**). Furthermore,  
324 NAc-DBS did not influence the absolute response time during the retrieval phase, *i.e.*, the time  
325 between collection and "drop" of the apple ( $F_{1,10}=0.9$ ;  $p=0.342$ ; **Supplementary Fig. S5a**). We also  
326 examined excess path length, a measure of efficiency in task performance, and its modulation by  
327 NAc-DBS. In contrast to drop error, which is a measure of path integration, excess path length

328 indexes executive functioning and action planning. Efficient task performance<sup>35</sup> involves making less  
329 tortuous routes to the goal, which can be calculated as the total distance covered on the way from  
330 apple to drop location minus the correct path length, i.e. the shortest distance from apple to basket  
331 location. Excess path length was not different between ON and OFF periods ( $F_{1,10}=1.17$ ;  $p=0.305$ ;  
332 **Supplementary Fig. S5b**) suggesting that executive and action planning components of task  
333 performance were unaffected by NAc-DBS. Therefore, the observed DBS-dependent improvement  
334 of drop error scores appears to reflect an improvement of spatial memory, rather than a general  
335 phasic enhancement of cognitive or motor abilities.

### 336 **Memory improvement is unlikely to reflect transient clinical benefit**

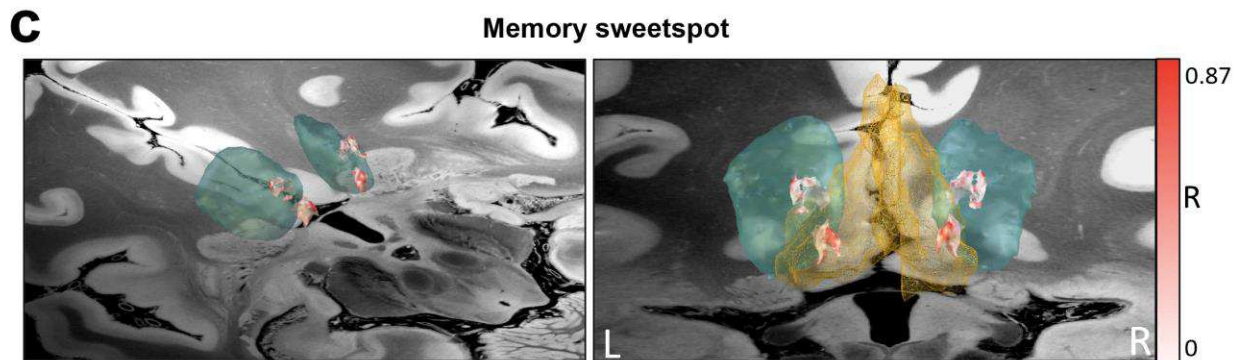
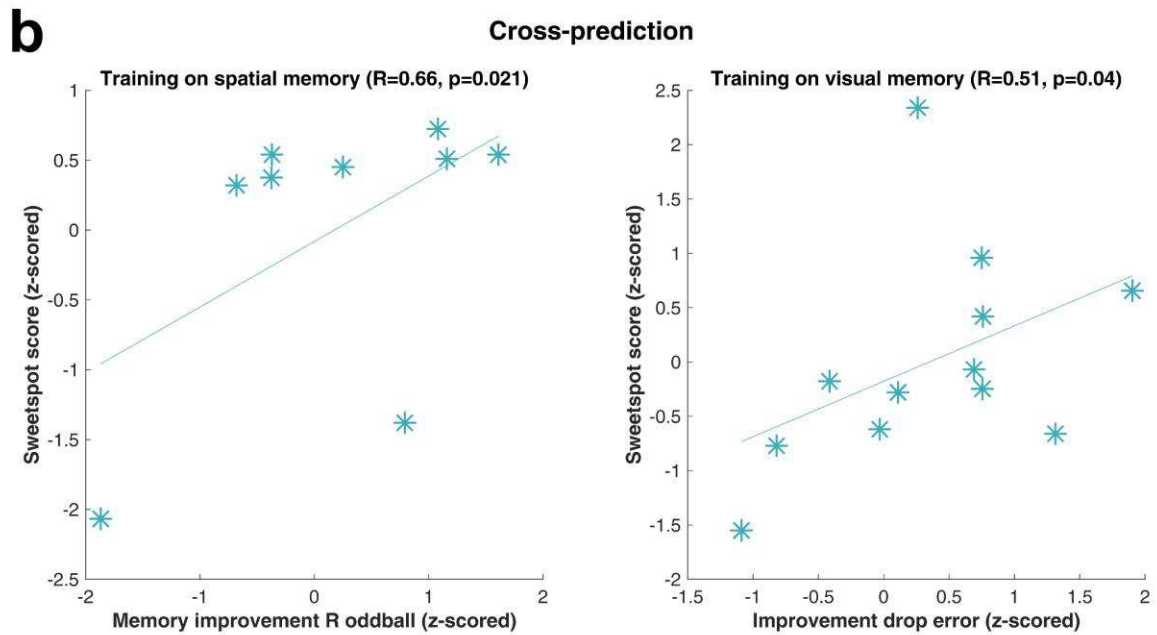
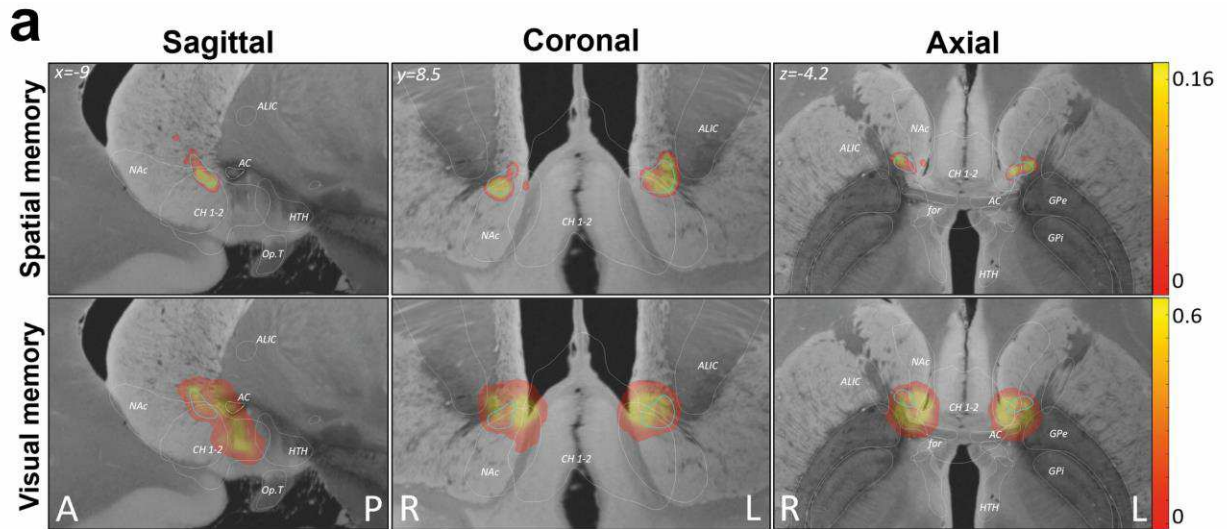
337 Impairments in several cognitive domains, including attention, learning and memory, and executive  
338 functions have been observed in OCD<sup>36</sup> and AN<sup>37</sup>. However, it is unlikely that long-term memory  
339 improvement is simply attributable to a NAc-DBS-evoked improvement in OCD symptoms. For  
340 both Exp1 and 2 cohorts, longitudinal assessments of the psychiatric effects of stimulating different  
341 electrode contacts in the striatum, including NAc, were available for six patients, respectively<sup>38</sup>.  
342 Long-term stimulation of three months was applied with an amplitude of 4.5V (as opposed to 3.5V in  
343 the visual memory task) and monopolar at the most ventral NAc contact C0) as opposed to bipolar  
344 between the two NAc contacts C0 and C1). In these six patients, percent improvement in the Yale-  
345 Brown Obsessive-Compulsive Scale (YBOCS) after long-term stimulation did not correlate with  
346 improvement of memory for oddball pictures induced by transient NAc-DBS (Kendall's tau=-0.2;  
347  $p=0.573$ ), neither with improvement of spatial memory (Kendall's tau=-0.07;  $p=0.851$ ). Furthermore,  
348 a generalized enhancement of cognitive functioning induced by NAc-DBS is unlikely given the  
349 absence of an effect on response times during visual memory encoding (Exp 1) and virtual  
350 navigation (Exp 2) and the selective memory benefit for oddball and (marginally) neutral stimuli, but  
351 not for positive stimuli in Exp 1.

### 352 **Neuroanatomical spatial mapping of DBS-induced memory enhancement**

353 The precise anatomical loci of DBS for optimal management of psychiatric symptoms are currently  
354 under study<sup>39, 40</sup>, but the neuroanatomy and neurobiological mechanisms underlying cognitive effects  
355 of NAc-DBS remain unexplored. Brain regions in close proximity to the NAc DBS target, such as  
356 the fornix or the cholinergic basal forebrain nuclei, are, like the accumbens, known to have  
357 modulatory effects on memory and hippocampal activity<sup>17, 41, 42</sup>. We therefore scrutinized differences  
358 in anatomical sites of stimulation to delineate “sweetspots” within this area, that is, precise  
359 anatomical loci optimal for memory improvement. Volumes of activated tissue (VATs) were  
360 medtronic3.5V using a finite element method (FEM)<sup>43</sup>. To map memory improvement to anatomical  
361 space, the electric fields (E-fields), thresholded at 0.2 V/mm in each voxel were correlated with the  
362 corresponding improvement scores. Specifically, improvement scores were absolute differences of R  
363 hit minus false alarm rates for oddball pictures ON minus OFF DBS for each patient in Exp 1, and  
364 the difference of drop errors (adjusted for linear trend) OFF minus ON DBS for each patient in Exp  
365 2, both variables z-scored to allow for comparability across both studies. Strikingly, the memory-  
366 enhancement effect of DBS localized to the same area for both memory improvement scores, at the  
367 border between the postero-dorso-medial part of the NAc and the medial septum/vertical limb of the  
368 diagonal band of Broca (areas Ch1-2) (**Fig. 4a**). The high degree of spatial overlap between both  
369 sweetspots (highlighted by the blue outline in **Fig. 4a**) suggests that the same focal area is linked  
370 with memory enhancement *in both* studies, even though different types of memory (encoding of  
371 visual pictures *vs.* path integration) had been investigated and at different stages during the course of  
372 DBS treatment.

373 Indeed, it was possible to cross-predict DBS-induced memory benefit in one study based on the  
374 sweetspot derived from the other study and *vice versa* (**Fig. 4b**). Thus, the degree of overlap between  
375 stimulation site and sweetspot (associated with enhanced recollection of oddball pictures) correlated  
376 significantly with the decrease in drop errors in Exp 2 (Spearman rho=0.51; p=0.040). Likewise, a  
377 higher overlap between stimulation sites and the sweetspot trained on spatial memory enhancement

378 correlated significantly with increased hits minus FA scores from Exp 1 (Spearman  $\rho=0.66$ ;  
379  $p=0.021$ ). Based on z-scored improvement scores, we also calculated a sweetspot across both tasks  
380 ( $N=21$ ), which confirmed the location from the individual results (**Fig. 4c**).



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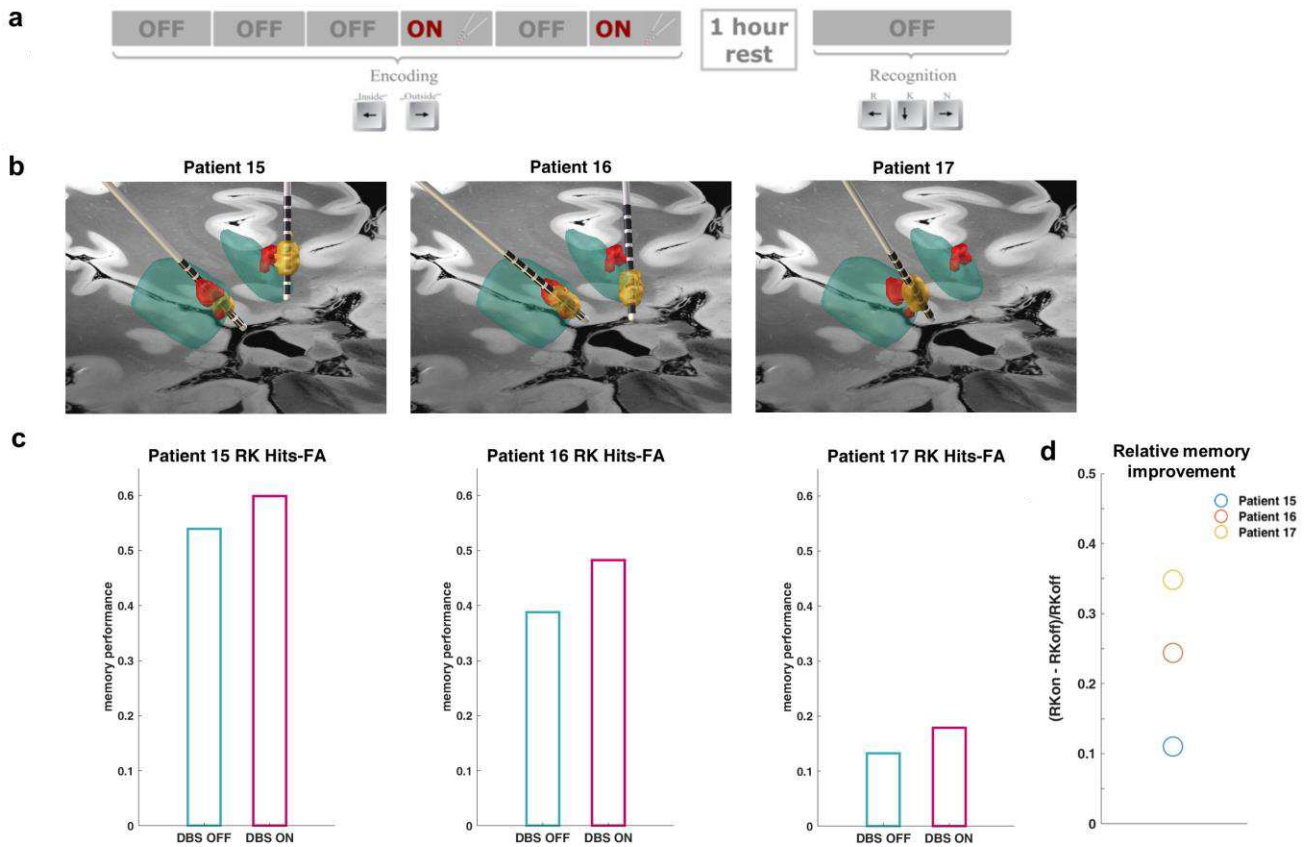
383 **Figure 4. “Sweetspot” for memory improvement.** **a.** Voxel-wise correlations (Spearman) between  
 384 e-fields and memory improvement reveal a region between the postero-dorso-medial NAc and the  
 385 medial septum, vertical limb of the diagonal band of Broca (Ch1-2) associated with DBS-induced

386 memory outcome, which is highly similar across the spatial (upper panel) and the visual (lower  
387 panel) memory task. The overlap between both sweetspots is indicated by the blue outline. Color  
388 bars depict R values after spatial smoothing. **b.** Overlaps between stimulation sites and sweetspot  
389 from one task correlated significantly with memory improvements in the other task and vice versa. **c.**  
390 3D visualization of the sweetspot (white to red) based on z-scored improvement scores pooled across  
391 both tasks. The medial septum and vertical limb of the diagonal band of Broca (CH1-2) are outlined  
392 in yellow, and NAc in green. AC: anterior commissure; for: fornix; Gpe: Globus pallidus externus;  
393 GPi: Globus pallidus internus; HTH: hypothalamus; Op.T: optic tract

394

### 395 **Memory enhancement following sweetspot stimulation**

396 A further 3 patients undergoing NAc-DBS for OCD (**Table 1**) performed the memory task described  
397 in Exp 1, but in this experiment, the stimulated electrode contacts were selected such that the bipolar  
398 VAT was nearest to the sweetspot derived from Exp 1 and 2. Physical overlap between the closest  
399 VAT and the sweetspot was evident in the left electrode of all 3 patients. Note that Patient 17  
400 underwent relocation of the left electrode 4 days prior to Exp 3; the right electrode had been  
401 chronically stimulated for 6 years and was therefore not stimulated. Furthermore, given that  
402 stimulation order had been fixed in Exp 1 (ON periods were 3<sup>rd</sup> and 5<sup>th</sup> for all patients), in this  
403 experiment stimulation was delivered during the 4<sup>th</sup> and 6<sup>th</sup> periods. Replicating the observations  
404 from Exp 1, but now with targeted sweetspot stimulation and a different stimulation order, all 3  
405 patients show memory enhancement (**Fig. 5**), with a median relative memory enhancement of 24.4%  
406 comparing subsequently correct R and K responses during stimulation vs. off stimulation.



407

408 **Figure 5. Sweetspot stimulation enhances memory (Exp 3).** **a.** As in Exp 1, in each of 6 periods,  
 409 emotionally neutral and positive stimuli, and infrequent oddball stimuli, were presented. The  
 410 stimulator was set to ON during the 4<sup>th</sup> and 6<sup>th</sup> periods. **b.** Electrode positions for the 3 patients (left  
 411 to right, Patients 15, 16 and 17) with estimated VAT from bipolar stimulation at 130 Hz, 3.5 V, 60  
 412  $\mu$ s shown in yellow, memory sweetspot in red, and NAc in green. A 7 tesla ex vivo 100-micron T1  
 413 scan serves as background template (<https://openneuro.org/datasets/ds002179/versions/1.1.0;>). **c.**  
 414 Relative to DBS OFF periods (1,2,3&5), encoding during DBS ON periods (4&6) enhanced the  
 415 probability that stimuli would later be correctly recognized, pooled over all stimulus types and  
 416 subsequent recollection (R) and familiarity (K) responses, in all 3 patients. **d.** Relative memory  
 417 improvement for the 3 patients, calculated as (memory performance ON – memory performance  
 418 OFF)/memory performance OFF DBS.

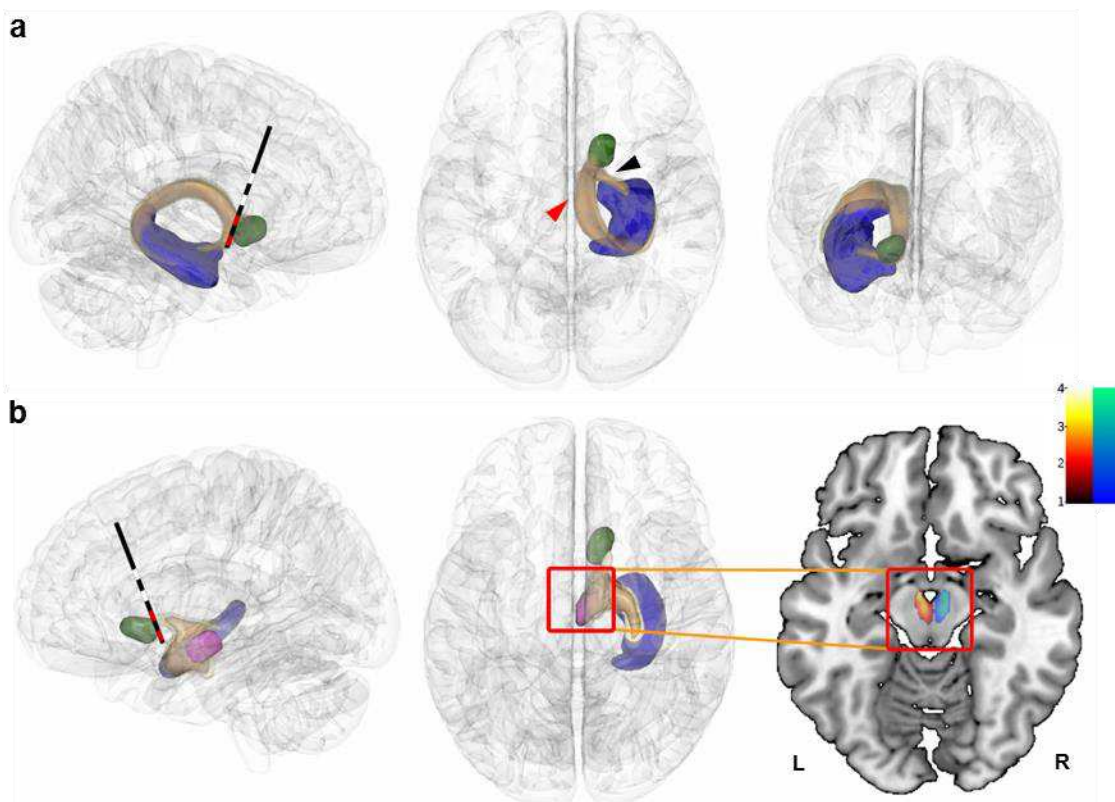
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#### 420 **Structural connectivity places the stimulation site within a hippocampal-VTA circuit**

421 Remember, but not Know, retrieval judgments are considered critically dependent on hippocampus  
 422 (<sup>21, 44</sup> but see <sup>23</sup>), indicating the likely engagement of hippocampus in the enhanced recollection, but  
 423 not familiarity-based, recognition we observe. To determine a neuroanatomical substrate for NAc-

424 hippocampal co-operation, we measured structural connectivity between the NAc stimulated site and  
425 hippocampus by applying probabilistic tractography to pre-operative diffusion weighted brain  
426 images from 7 OCD patients. The stimulated NAc site connected to the hippocampus not only via  
427 the fornix, but also via a ventral pathway (**Fig. 6a**). In addition to these two pathways, the NAc is  
428 also thought to be central to a circuit which enhances levels of dopamine in the hippocampus via  
429 engagement of the ventral tegmental area (VTA)<sup>2</sup>. We therefore next tested for an overlap between  
430 projections between NAc and VTA, and hippocampus and VTA, and successfully identified overlap  
431 in the VTA in 6 of the 7 patients (**Fig. 6b**).

432



433

434 **Figure 6. Anatomical connectivity between nucleus accumbens stimulation site and**  
435 **hippocampus. a.** Segmented right NAc (green) and hippocampus (blue) are shown on a transparent  
436 brain seen from sagittal (left), axial (middle) and coronal (right) perspectives. In sagittal view, the  
437 position of the right stimulating electrode is indicated as per Fig. 2. The two major fibre bundles  
438 connecting NAc and hippocampus, the fornix dorsally plus a ventral pathway, are indicated in beige

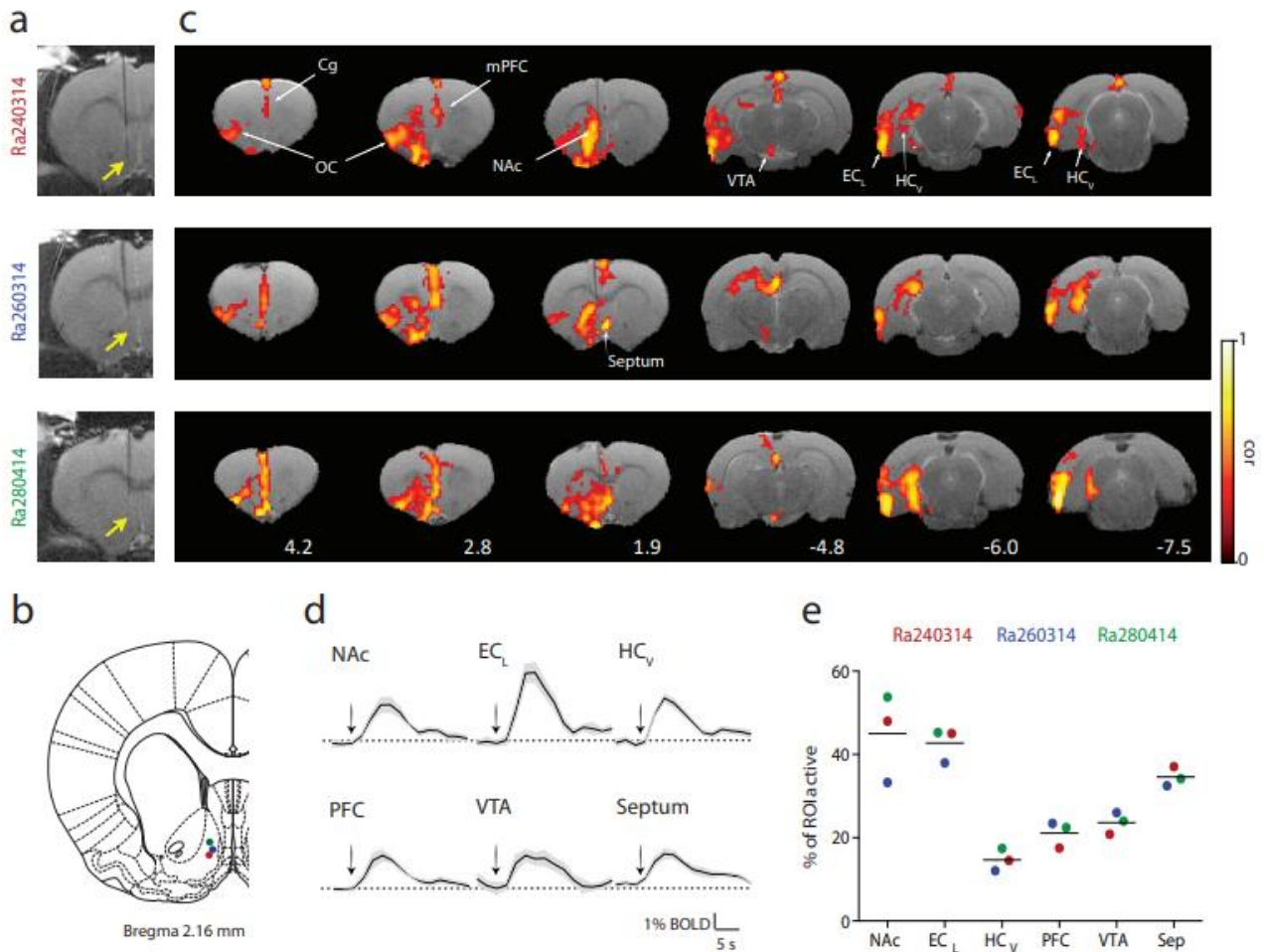


439 and highlighted in axial view by red and black arrows, respectively. **b.** The VTA mask is shown  
440 (purple). Beige surfaces demonstrate results of probabilistic tractography using stimulation site as  
441 seed, and hippocampus as a target. On the right, an axial MRI slice in MNI space is shown,  
442 indicating the number of patients that show agreement in the probabilistic tractography map within  
443 the VTA. Color bars represent the overlap between individual patient reconstructions (number of  
444 subjects) in left (L) and right (R) hemispheres.

445

#### 446 **Local and distant effects of NAc-DBS on neuronal activity**

447 Structural connectivity does not, however, inform about the functional correlates of stimulation.  
448 Functional MRI acquisition during active DBS is limited in human patients. In Exp 4, we therefore  
449 employed an animal model to measure whole-brain functional correlates of DBS in the equivalent  
450 anatomical site in rats. In the context of fMRI scanning, anesthetized rats underwent left unilateral,  
451 bipolar stimulation of the NAc shell (*i.e.*, the same structure targeted in our patients) using  
452 parameters equivalent to those used clinically: 130 Hz stimulation at 150  $\mu$ A (~ 3.5 V) and pulse  
453 width 60  $\mu$ s (**Fig. 7a-b**). Responses in 3 animals were measured and we inspected the similarity of  
454 evoked activity between animals. Stimulation for 4 s evoked a rise in blood oxygen level-dependent  
455 (BOLD) signal in several structures critical for memory function, including the NAc itself, ventral  
456 hippocampus and VTA, confirming functional relevance of our structural connectivity findings (**Fig.**  
457 **7c-d**). Medial and orbitofrontal cortices were also activated, as well as the medial septum. The  
458 magnitude of response was highly consistent across subjects (**Fig. 7e**).



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**Figure 7. Activation of brain regions induced by DBS of the shell of the NAc in rats.** **a.** High resolution anatomical images (T2-weighted) showing the location of the DBS electrode in three different animals. The electrode is visualized as a thin vertical line crossing the corpus callosum, with the yellow arrow pointing to its most ventral location. **b.** Location of the implanted electrodes mapped on the Paxinos and Watson rat brain atlas. Colors denote the identity of the individual animals as in **a.** **c.** Thresholded functional maps ( $p < 0.001$ , cluster size 14) of the three animals (in rows) overlaid on anatomical T2-weighted images, showing brain activation in DBS ON periods. Color-code denotes the correlation coefficient of the BOLD signal with the stimulation protocol. Numbers on the images of the lower row indicate distance from bregma in mm. **d.** BOLD signal time courses evoked by DBS in different regions. **e.** Volume of activated regions as percentage relative to the total volume of the structure. Cg: cingulate, EC<sub>L</sub>: lateral entorhinal cortex, HC<sub>V</sub>: ventral hippocampus, mPFC: medial prefrontal cortex, NAc: Nucleus accumbens, OC: orbitofrontal cortex, PFC: prefrontal cortex, Sep: septum, VTA: ventral tegmental area. Note that signal drop-out precludes effective imaging of the medial EC.

476 **DISCUSSION**

477 Memory function is modulated by several structures that project to the hippocampus<sup>45</sup>, including the  
478 mesolimbic dopamine system<sup>8, 9, 11, 33, 46</sup>. We hypothesized that stimulating the nucleus accumbens in  
479 patients undergoing DBS would influence memory. We confirmed this hypothesis by showing NAc-  
480 DBS evoked memory enhancement in humans. In contrast to the few preexisting human NAc-DBS  
481 studies assessing long-term changes in cognitive abilities<sup>14, 15</sup>, we explicitly modulated neural  
482 activity in a placebo-controlled block-design to examine the effects of transient NAc-DBS both in  
483 the immediate postoperative phase and after chronic therapeutic DBS. This also has the advantage of  
484 avoiding practice effects and poor test-retest reliability when the same neuropsychological test is  
485 repeatedly assessed over time. Two previous studies have shown memory benefits after long-term  
486 NAc-DBS and in both studies, memory scores were not significantly related to psychiatric  
487 improvements, in agreement with present results. The first study in 10 patients with major depressive  
488 disorder (MDD)<sup>14</sup> reported significant improvements in verbal and visual spatial memory after 1 year  
489 of bilateral NAc-DBS (at the same stimulation target used in the current study). In the second study,  
490 a group of 10 OCD and 11 MDD patients who received DBS to the anterior limb of the internal  
491 capsule/ventral striatum, a site adjacent to the current target, showed significant improvements in  
492 verbal recall during chronic stimulation<sup>15</sup>. By contrast, longitudinal studies of memory in treatment-  
493 resistant depression patients undergoing DBS of the ventral anterior limb of the internal capsule  
494 (vALIC) show either no effect on verbal or visuospatial memory<sup>47</sup> or a decline in episodic memory  
495 scores of the Autobiographical Memory Inventory Short Form induced by DBS treatment (although  
496 this decline was observed relative to healthy controls and not treatment-resistant depression patients  
497 not undergoing DBS)<sup>48</sup>. Patients in our second study had already been stimulated for a chronic period  
498 of up to 9 years, and although chronic stimulated contacts and stimulation settings differed from  
499 those applied in our study, half of the patients had been chronically stimulated at NAc contacts and  
500 most of them with higher voltages/currents. This is remarkable, as we observed a transient memory

501 effect of Nac-DBS even in these patients, suggesting that little to no habituation to DBS effects on  
502 memory occurred over time.

503 Our first experiment revealed significantly enhanced encoding of salient visual stimuli following  
504 transient NAc-DBS in the acute postoperative phase and a trend for improved encoding of neutral  
505 pictures. Encoding effects were reflected in higher hits minus false alarm rates of conscious  
506 recollection, but not of familiarity judgments, consistent with an upregulation of hippocampal  
507 processing<sup>21, 44</sup>. The enhancement of memory for salient events by NAc-DBS is in line with a model  
508 whereby the hippocampus and dopaminergic mesolimbic system gate entry of novel stimuli into  
509 long-term memory<sup>2</sup>. Conceivably, DBS of the NAc facilitates the encoding of salient stimuli by  
510 further reinforcing a dopaminergic loop, which is already activated by the perception of an  
511 unexpected stimulus. It should be noted that memory enhancement for neutral pictures in Exp 1 also  
512 reached trend level significance ( $p=0.06$ ), which may simply be a limitation of sample size, raising a  
513 possibility that NAc-DBS renders these stimuli as more salient in the memory encoding process,  
514 putatively via increased release of dopamine within the hippocampus and neocortex.

515 Numerous studies implicate a striatal contribution to other types of memory, including spatial  
516 memory<sup>12, 49</sup>. Our second experiment replicated and extended the finding of improved memory  
517 ability from Exp 1, this time testing patients' spatial memory accuracy with and without stimulation,  
518 after a chronic period of DBS therapy. Memory enhancement in this study was independent of the  
519 subtype of path integration, *i.e.*, in the presence or absence of a landmark. In both Exp 1 and 2, the  
520 effects of DBS are unlikely to be due to a general enhancement of cognitive or executive  
521 functioning, nor did these effects correlate with longitudinal clinical improvement following long-  
522 term accumbens stimulation. In an additional analysis, we delineated an anatomical sweetspot at the  
523 border of the postero-dorso-medial NAc and the cholinergic medial septal nuclei (Ch1-2) associated  
524 with memory benefit in both studies. Stimulation overlaps with the sweetspot derived from one study  
525 could cross-predict DBS memory enhancement in the other study.

526 Convergent findings from our patient tractography and rodent fMRI analyses support a central role  
527 for NAc in a proposed hippocampal-VTA circuit, that further involves medial and orbitofrontal  
528 cortices, important for enhancing memory for salient events<sup>2</sup>, and overall suggest a role for NAc-  
529 DBS in strengthening the functional coupling in this memory network. Consistent with this  
530 interpretation, we have previously shown that potentiating the input from rat EC to hippocampus in  
531 the context of fMRI scanning results in the formation of a strongly coupled functional network  
532 including hippocampus, medial-prefrontal and orbitofrontal cortices and NAc<sup>50, 51</sup>. Critically, under  
533 NAc inactivation, the coupled network disintegrates, highlighting the key role of NAc in maintaining  
534 a hippocampal-mesolimbic-PFC circuit<sup>52</sup>.

535 The mechanisms of action of DBS remain incompletely understood<sup>53, 54</sup>. However, it is clear that  
536 stimulation leads to both local and remote effects<sup>53</sup>, both of which can be observed in our rat fMRI  
537 data. Locally, the increased BOLD response we observed in NAc was consistent with a previous  
538 study in OCD patients showing that NAc-DBS immediately before fMRI scanning normalized  
539 (increased) NAc activation during reward anticipation<sup>5</sup>, although we note the potential of local  
540 artefacts in functional T2\* images from DBS. With respect to remote effects, NAc-DBS-evoked  
541 activation in medial and orbitofrontal cortices was in keeping with our previous demonstration that  
542 cortical projections from the stimulation site were strongest to ventromedial prefrontal and  
543 orbitofrontal cortical areas<sup>4</sup>. Our patient tractography analyses showed that the stimulation site was  
544 anatomically connected to the hippocampus, with which it shares confluent projections to the VTA.  
545 FMRI results from the rodent experiment added functional validity to these anatomical findings by  
546 showing that stimulating this same NAc region with the same stimulation parameters activated both  
547 hippocampus and VTA. This hippocampal response was ventrally located, in agreement with the  
548 anatomical and functional relationship between NAc shell and ventral hippocampus<sup>11</sup>. Thus, despite  
549 potential between-species differences in our stimulation protocol, such as much clearer anatomical  
550 demarcation of the NAc shell in rodents compared to humans, potential differences in volume of

551 tissue activated by rodent *vs.* human stimulation protocols, and likelihood of stimulation of the same  
552 surrounding brain structures due to between-species anatomical differences, results from all of our  
553 three experiments converged on the same brain circuit that could mediate NAc-DBS induced  
554 memory enhancement.

555 The electrode placement in the postero-ventro-medial NAc (*i.e.*, shell) was in close proximity to the  
556 more medially located basal forebrain cholinergic nuclei (medial septum and vertical limb of the  
557 diagonal band of Broca) which provide most of the cholinergic input to the hippocampus<sup>16</sup>. In rats,  
558 DBS of the cholinergic medial septal nucleus restores spatial memory after partial pharmacological  
559 lesions of medial septal cholinergic neurons<sup>55</sup>, which is also associated with increased hippocampal  
560 theta activity<sup>55</sup>. We have shown that rodent NAc stimulation engaged the medial septum and our  
561 sweetspot analysis equally encompasses this region, indicating that increased cholinergic input to the  
562 hippocampus may also be driving the memory improvement described here. Thus, stimulation of this  
563 memory sweetspot could potentially influence hippocampal activity by engaging two  
564 neuromodulators – dopamine and acetylcholine.

565 Previous studies demonstrating memory enhancing effects of DBS have stimulated primary  
566 hippocampal input/output pathways: the fornix and entorhinal cortex (EC). Fornix-DBS has been  
567 reported to induce autobiographical memory flashbacks and improve recollection in a patient  
568 undergoing lateral hypothalamic DBS for obesity<sup>56</sup> and in 4 cases of epilepsy (without statistical  
569 evaluation)<sup>57</sup> for visual, but not verbal, memory. Despite initial promise of a first clinical trial for the  
570 treatment of Alzheimer’s disease (AD) by fornical DBS<sup>41</sup>, subsequent phase II trials have not shown  
571 benefit, on a group level<sup>58</sup>. EC-DBS was shown to improve spatial memory in 7 patients with  
572 epilepsy<sup>59</sup>, although in further epilepsy patients performing similar tasks, the same stimulation  
573 parameters provoked memory impairment<sup>54, 60, 61</sup>. More recent work, however, showed that  
574 microstimulation in the right entorhinal area during learning in a person recognition task  
575 significantly improved subsequent memory specificity<sup>62</sup>, and that, more generally, stimulation of

576 right entorhinal white matter, but not left-sided or gray matter stimulation, improves visual memory  
577 performance<sup>63</sup>. Other approaches to improving memory in epilepsy patients have involved time-  
578 locking DBS to immediately after visual stimulus presentation (in the case of amygdala  
579 stimulation<sup>64</sup>) or using a read-out from on-going electrophysiological recordings to trigger  
580 stimulation (of lateral temporal cortex<sup>65</sup>). The approach for enhancing memory described here,  
581 however, does not require external or feedback triggering.

582 In contrast to fornix and EC targets, here we provide evidence for an alternative approach associated  
583 with memory enhancement: targeting a system that may activate the hippocampus through  
584 modulatory channels. Our observations are relevant to recent attempts to employ DBS as a technique  
585 to treat memory loss in dementia for two reasons. First, evidence is emerging that NAc-DBS  
586 improves memory after long-term stimulation (yet to be shown for EC or fornix stimulation) in  
587 psychiatric patients, with this memory-enhancing process appearing to be independent of its  
588 antidepressant or anti-obsessive-compulsive effects. Second, the system we target, which modulates  
589 the hippocampus via parallel channels, particularly the putatively dopaminergic one, may be less  
590 subject to neurodegeneration in AD, the leading cause of dementia, than the fornix and EC,  
591 themselves. As mentioned above, NAc-DBS may normalize functional responses in this structure<sup>5</sup>.  
592 Such restoration of local function could be essential to therapeutic benefit and would be difficult to  
593 achieve if the stimulated area is severely degenerated. This caveat equally applies to a trial of DBS of  
594 nucleus basalis of Meynert (NBM). The NBM has long been known to be a site of extensive  
595 degeneration in AD<sup>66</sup> even at early stages. NBM-DBS in 6 patients with mild to moderate AD<sup>67</sup>  
596 produced slightly less worsening of clinical status than would be expected after one year<sup>67</sup>. Even if  
597 EC-DBS in epilepsy patients had shown consistent memory enhancing effects, the atrophy of EC  
598 observed in the earliest stages of AD (which primarily involves cell-layers projecting to  
599 hippocampus<sup>68</sup>) may render this site, like fornix and NBM, a suboptimal target for AD. By contrast,  
600 the NAc may be relatively preserved in AD<sup>69</sup>, although we note that any cholinergic contribution

601 from stimulating the medial septal area encompassed by the memory sweetspot described here is  
602 likely to be diminished or absent in patients with AD.

603 By applying focal electrical stimulation to the human NAc, we provide direct evidence for an on-line  
604 role for this structure in episodic memory enhancement, which in humans has until now only been  
605 indirectly inferred from correlative neuroimaging data. The enhancement in subsequent recollection  
606 of visual stimuli and spatial navigation performance across both landmark-supported and pure path  
607 integration tasks induced by NAc-DBS implies engagement of a hippocampal-dependent process.  
608 This is supported by patient diffusion-weighted imaging data and rodent fMRI responses  
609 demonstrating structural and functional connectivity between the stimulated site and hippocampus.  
610 Moreover, these analyses indicate membership of the stimulated NAc site to a circuit comprising  
611 accumbens, hippocampus, medial and orbitofrontal cortices and VTA, providing direct support, in  
612 humans, for a model of this circuitry in upregulating episodic memory<sup>2</sup> for salient events and spatial  
613 memory. Our observations provide mechanistic insights and the strong inferential power of focal,  
614 transient neuromodulation, to support observations of memory enhancement following long-term  
615 NAc-DBS that appears to be de-coupled from psychiatric improvements. These observations provide  
616 an empirical and mechanistic basis for considering NAc-DBS a potential therapeutic avenue for  
617 patients with memory impairment.

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796

797 **AUTHOR CONTRIBUTIONS**

798 B.A.S. designed human experiments. J.A.B., J.M.A-C., C.T., M.N., M.L., and F.S. performed  
799 neurosurgical procedures. S.T., B.A.S., J.A.B. and J.M.A-C. performed, and S.T. and B.A.S.  
800 analyzed, the DBS experiments. B.R-P., J.G-A., J.J.G-R, A.G-V. and C.N. performed patient  
801 evaluation. J.A.P-P. performed tractography analyses. A.O. provided technical expertise. A.B., L.K.  
802 and N.A. developed and supported analysis of Exp 2. N.L. and A.H. provided DBS imaging  
803 expertise. D.T. and S.C. designed, performed and analyzed animal experiments. B.A.S. and S.T.  
804 wrote the manuscript. All authors provided comments on the manuscript.

805

806 **COMPETING FINANCIAL INTERESTS**

807 J.A.B. reports having received research funding from Boston Scientific and Medtronic. C.N. has received  
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809

810 **ONLINE METHODS**

811 **Human studies.**

812 *Participants.* Participants in Exp 1 comprised 8 patients suffering from treatment refractory OCD  
813 (20-50 y; average: 34.8 y; 3 female), and one patient with treatment-resistant anorexia nervosa  
814 (female; age 37; **Table 1**). This patient had a preoperative Body Mass Index (BMI) of 15.4 and the  
815 following scores on psychiatric scales: Bulimic Investigatory Test, Edinburgh, Severity subscale and  
816 symptoms subscale (BITE) Symptoms 26, Severity 16; and the Bulimia Test-Revised (BULIT-R)  
817 score of 110.

818 The OCD patient control group (not undergoing DBS) comprised 9 patients (21-50 years; average:  
819 34; 2 female; **Supplementary Table 2**). One control patient (cOCD3) has a left-sided pupil lesion.  
820 All other participants were free of visual impairments or color blindness.

821 Fifteen patients suffering from treatment refractory OCD and one patient with treatment-resistant  
822 anorexia nervosa (patient AN1; age 36; female), who had all been implanted for NAc-DBS therapy,  
823 completed Exp 2. The OCD patients were under the care of three different hospitals in Spain. Two  
824 OCD patients had to be excluded from Exp 2 due to poor performance in the task, i.e. due to  
825 exceptionally high drop errors or response times at baseline, deviating by more than two standard  
826 deviations from the average. Another OCD patient had to be excluded for pronounced cognitive side  
827 effects from medication (unable to perform the task), so that 12 patients (aged 20-54 years; average:  
828 34.5 years; 3 female) were included in the analyses. Six patients completed both Exp 1 and 2 (**Table**  
829 **1**). Twelve healthy control subjects matched in gender and age, recruited within two research centres  
830 in Madrid, participated in Exp 2. A further 3 patients with OCD undergoing NAc-DBS participated  
831 in Exp 3.

832

833 All patients and control participants provided written informed consent. Both studies had full ethical  
834 approval from the Hospital Clinico San Carlos, Hospital Universitario La Princesa and Universidad

835 Politécnica de Madrid ethics committee. OCD patients 1-3 and 5 took part in the 2-year longitudinal  
836 study, which also had approval from the Hospital Clinico San Carlos Ethics Committee and was  
837 registered at clinicaltrials.gov under trial name “Deep brain Stimulation in Obsessive-compulsive  
838 Disorder: Randomized, Double-blinded Clinical Trial (10/131)”, registration number NCT03217123.  
839 Approval for intervention with DBS in patient AN1 was proportioned on compassionate grounds  
840 from the Spanish Medication Agency (AEMPS), registration number 544/16/AE.

841 *Neurosurgical procedure*

842 Full operative details for HCSC patients have been recently described<sup>4</sup>. In brief, a Medtronic Stealth  
843 Station Treon navigation system (Medtronic Minneapolis, USA) was used to place the nucleus  
844 accumbens target at coordinates reported previously<sup>3</sup>. For patients OCD1-6 and AN1, a trajectory  
845 was planned to reach the target point at the nucleus accumbens close to the bed nucleus of the stria  
846 terminalis (distal electrode contact), and for placing the rest of the contacts of a Medtronic Model  
847 3391 stimulating macroelectrode (four 3.0-mm contacts in total, 4.0-mm spacing between contacts;  
848 1.5-mm spacing after most distal contact) at several points along the striatum avoiding the ventricles.  
849 For patient OCD7, the same target co-ordinates were used, but the insertion trajectory followed the  
850 internal capsule, just lateral to the caudate. The electrodes inserted in this patient and in patients  
851 OCD8 and 13 were Boston Scientific (Marlborough, MA, USA) DB-2201 (unsegmented octopolar  
852 model; 8 1.5-mm contacts in total, 0.5-mm spacing between contacts; 1.2-mm spacing after most  
853 distal contact). For patients OCD8-17, electrodes were implanted so as to target the internal capsule,  
854 ventral striatal and NAc with consecutive contacts<sup>70</sup>. Patient AN1 also underwent bilateral electrode  
855 implantation to ventral midbrain, but these electrodes were inactive prior to, and during, the testing  
856 session. For all other patients, preoperative mounting of the stereotactic frame (Leksell Coordinate  
857 Frame G; Elekta Instrument AB, Stockholm, Sweden) under general anesthesia was followed by a  
858 computed tomography (CT) scan. The anterior and posterior commissures were identified using axial  
859 three-dimensional T1-weighted inversion recovery axial MRI. Images were transferred to a

860 neuronavigation station (Brainlab AG, Munich, Germany) and used to place the nucleus accumbens  
861 target as described above.

862 After microelectrode recording, the macroelectrode was implanted at the determined target. Final  
863 electrode position was verified by post-operative computed tomography (CT) and 1.5T MRI.

#### 864 *Deep-Brain Stimulation Protocol*

865 Exp 1 was conducted up to six weeks post-implantation, with stimulator OFF during this period. Exp  
866 2 took place after a chronic period of therapeutic stimulation, ranging from one month to 9 years.  
867 Stimulators were turned off two hours prior to testing and only turned on during two blocks of the  
868 spatial memory task. For patients OCD1-6, 9-12 and 14-15, bilateral NAc-DBS was delivered via a  
869 constant current stimulator as square pulses, using a Medtronic N'Vision Model 8840 Clinician  
870 Programmer and Software application card model 8870 (programming platform for Medtronic  
871 neurological implantable therapy devices) for programming the parameters of the Activa PC  
872 neurostimulator model 37601. Bipolar stimulation at 3.5 V between the 2 most distal contacts  
873 (negative polarity most distal) was delivered at 130 Hz (pulse width 60  $\mu$ s). DBS was only applied  
874 during periods 3 and 5, with the onset of stimulation 10 s before run start, with an increase from 0-  
875 3.5 V ramped over 4 s at onset. For patients OCD7, 8 and 13 and AN1, DBS was programmed with a  
876 Boston Scientific Clinical Programmer. As this is current-clamped stimulation, electrode contact  
877 impedance was measured, and current delivered to achieve a voltage of 3.5V (voltage increase from  
878 0-3.5 V between periods was again ramped over 4 s at onset). Exp 3 was conducted in the week post-  
879 implantation, with DBS programmed with a Boston Scientific Clinical Programmer as described  
880 above. For patients OCD15 and 16, bipolar stimulation at 3.5 V between the 2 contacts nearest to the  
881 memory sweetspot (negative polarity most distal) was delivered at 130 Hz (pulse width 60  $\mu$ s).  
882 Patient OCD17 had undergone chronic stimulation for the preceding 6 years, with replacement of the  
883 left electrode to a more medial location 3 days prior to performing Exp 3. To eschew potential  
884 interpretability issues arising from simultaneously stimulating acutely placed and chronic electrodes,

885 stimulation during Exp 3 in this patient was left unilateral. *Exp 1. Experimental Protocol:*  
886 The study consisted of an incidental encoding session followed one hour later by a surprise  
887 recognition memory task.

888 Stimuli. During encoding and recognition, 3 types of pictures were presented: emotionally neutral,  
889 emotionally positive and visual perceptual oddballs. A total of 156 emotionally neutral pictures were  
890 taken from the International affective picture system (IAPS) database<sup>71</sup>, with mean (std) scores of  
891 valence = 4.933 (0.602) and arousal = 3.373 (0.625), using a scale from 1-9 and 9 being the most  
892 positive valence and most arousing. A further 60 neutral pictures were taken from the Geneva  
893 affective picture database (GAPED)<sup>72</sup> valence = 56.869 (6.350) and arousal = 24.544 (7.558), with  
894 ratings from 0 to 100 points; 0 = very negative pictures to 100 = very positive pictures; 0 for no  
895 arousal, 100 for highly arousing. Emotionally positive pictures (total of 96) were taken from the  
896 IAPS database (scores of valence = 7.201 (0.416) and arousal = 5.731 (0.549)). Perceptual oddball  
897 stimuli were photographs of black and white objects (taken from the Hemera Photo-Objects  
898 database), by contrast to all other stimuli which were in color (total of 48 oddballs). For each  
899 stimulus type, half of the stimuli were randomly selected for each patient to be presented at  
900 encoding, with the other half presented as lures at recognition (to avoid any confounding effect of  
901 pooling across picture databases, for neutral stimuli half of the IAPS and half of the GAPED pictures  
902 were randomly selected separately).

903 Encoding. Prior to encoding, patients were informed that the task would last approximately 13  
904 minutes and that during this time their stimulator would be turned on for two 2 minute periods, but  
905 that they would be blind to stimulator status throughout. During the encoding session, patients were  
906 presented pictures on a 15" laptop computer screen and indicated, via button-press, whether the  
907 picture pertained to an indoor or outdoor scene (stimulus duration 1500 ms, inter-stimulus interval  
908 2500 ms). Patients viewed 180 pictures, divided in 6 periods, with NAc-DBS applied during the 3<sup>rd</sup>  
909 and 5<sup>th</sup> periods only. In each 10 second delay between successive periods, one experimenter (B.A.S.)



910 manipulated the Clinician Programmer either actually turning ON/OFF the NAc-DBS or, after the  
911 first period, giving the impression of doing so. This experimenter then left the testing room. For  
912 patients from HCSC, a further experimenter (J.M.A-C.) was present throughout testing, and was also  
913 blind to stimulator status. For each period, 18 neutral pictures (13 IAPS and 5 GAPD), 8 positive  
914 pictures and 4 perceptual oddballs were presented (*i.e.*, oddballs had a 13.3% probability of  
915 occurrence). Pictures were pseudorandomly presented with two constraints: 1) the first 5 stimuli in  
916 each epoch were always neutral, to set the context for perceptual oddball stimuli, and 2) that there  
917 was at least one non-oddball stimulus between successive oddballs. Note that our rationale for not  
918 counterbalancing which periods were stimulated in Exp 1 was to facilitate analysis of any retrograde  
919 or carry-over effect of NAc-DBS into OFF periods that followed ON periods (this would not have  
920 been possible had stimulation occurred in the first blocks or the last block). In Exp 3, described  
921 below, a further group of patients performed the same task, with stimulation applied in blocks 4 and  
922 6.

923 Recognition. One hour later, patients performed a surprise recognition memory test. All stimuli  
924 shown at encoding were presented, randomly intermixed with an equal number of new lure items  
925 (stimulus duration 1500 ms, inter-stimulus interval 2500 ms). Patients were required to make a push-  
926 button response to indicate whether they had seen the picture before. Specifically, patients were  
927 required to make a “remember” “know”, or “new” decision<sup>20</sup>, with remember responses indicating  
928 that the patient could recall elements of the study episode, whereas know responses indicated the  
929 patient had a sense of familiarity with the picture without being able to recall the original study  
930 episode. NAc-DBS was not applied during recognition. At the end of testing, each patient was asked  
931 whether they could recount at which time points during encoding the stimulator had been set to on.  
932 No patient was able to correctly identify the two periods of NAc-DBS. Furthermore, no patient  
933 reported symptoms of elation on starting stimulation, which we have observed previously in one  
934 patient<sup>4</sup>.

935 Emotional rating. Following recognition testing, the same visual stimuli were presented again to 3  
936 patients (OCD5, OCD7 and AN1) who were instructed to judge the valence and arousal of each  
937 image according to 2 self-assessment manikin (SAM) images<sup>73</sup>. Pictures were presented for 1500 ms,  
938 followed by a fixation cross (500 ms) and then the valence SAM was presented, requiring a self-  
939 paced button-press to indicate 1, most negative valence, to 9, most positive. Once the patient had  
940 made a response, a fixation cross again appeared (250 ms) followed by the arousal SAM. A self-  
941 paced button-press (a scale from 1 to 9, non-arousing to most arousing) prompted a fixation cross  
942 (500 ms), followed by the subsequent picture.

943 Statistics.

944 For each patient, encoding success – the percentage of subsequently correct remember (R) or  
945 familiar (K) judgments (*i.e.*, Hit rate) – was calculated for each stimulus category for each period.  
946 False alarm rates for R and K responses (*i.e.*, indicating that a novel lure was previously seen during  
947 encoding) was calculated for each stimulus category and was subtracted from the corresponding Hit  
948 rate. Stimuli for which there was a missed response at encoding or recognition were removed from  
949 analyses. The only exception to the latter was for one encoding period, for one patient (OCD7),  
950 where no responses were made to a stimulus type and the recognition for these stimuli was zero.  
951 Behavioral data were analyzed using IBM SPSS, version 22®. Due to the broad age range of patients  
952 from 20-50 years, age was included as a covariate in all statistical tests and all reported *P* values  
953 ensuing from t-tests are two-tailed.

954 *Exp 2. Experimental Protocol:*

955 Spatial memory performance was assessed using a virtual navigation task. We employed an adapted  
956 version of the “*Apple Game*”<sup>24</sup>, which is implemented via Unreal Engine (Epic Games, version  
957 4.11). In this task, participants navigate through a virtual environment featuring a grass landscape.  
958 The radius of the arena is 16,971 virtual units. Each trial consists of four successive steps: navigation  
959 to the location of a basket (“*goal location*”), which they are instructed to remember, navigation to a

960 distractor tree, navigation to the tree with an apple (“*retrieval location*”), return to the goal location  
961 within 60 seconds and “drop” the apple via a button press (“*drop location*”). A number of stars,  
962 from zero to three, is then displayed as feedback about the proximity to the goal location, before the  
963 next trial starts. All objects (basket and trees) appear successively and disappear at the time of  
964 passage. The task comprised two subtasks, so that in half of the trials a lighthouse served as a  
965 landmark, whereas in the other half of the trials no supportive spatial cues were available and  
966 participants had to rely on PPI. Four practice trials allowed the participants to get familiar with  
967 joystick navigation before a total of 48 trials were completed, with 8 trials per block. Across all  
968 patients, the average trial duration was 49 seconds, with a total task duration of 39 minutes on  
969 average. The first two blocks served as a baseline and were not included in the analysis, as a practice  
970 effect (*i.e.*, markedly improved performance) between period one and two was observed. Stimulation  
971 was applied either during periods three and five (7 patients) or during periods four and six (5  
972 patients).

973 The distance between basket location and distractor tree (leg a: 1600 or 3200 virtual meters (vm)),  
974 between distractor tree and retrieval location (leg b:1600 or 3200 virtual meters (vm)), as well as the  
975 angle between the two legs (60° or 120°) varied between trials and was balanced across the three  
976 conditions BASELINE (periods 1&2), ON (periods 3&5/4&6) and OFF (periods 4&6/3&5). Spatial  
977 memory accuracy was quantified by the drop error, *i.e.*, the Euclidian distance between the drop  
978 location and the correct goal location. To test for stimulation-based effects on motor abilities,  
979 navigation speed (virtual units per second) was examined, as well as the response time, *i.e.*, the  
980 absolute time of the retrieval period, which, in contrast to the navigation speed, also includes times  
981 without joystick movement.

982 Statistics.

983 All dependent variables were calculated using Matlab and corrected for a learning effect across  
984 periods, by estimating over all patients and subtracting a linear fit before averages were calculated

985 across OFF (periods 4&6/3&5) and ON (periods 3&5/4&6) periods. That is, for each patient, drop  
986 error was averaged across all trials within the 6 blocks. These values were then averaged over  
987 patients, irrespective of DBS ON/OFF order. The linear fit over the 6 blocks was estimated using the  
988 polyfit function (of degree 1) in Matlab. The linear fit (containing 1 value per each of the 6 blocks)  
989 was then subtracted from each patient's 6 block values. In three patients, one trial was missing, due  
990 to interruptions or technical problems. Statistical analyses were carried out in IBM SPSS Statistics,  
991 version 22®. As in the previous experiment, age was included as a covariate and all reported *P*  
992 values ensuing from *t*-tests are two-tailed.

### 993 *Longitudinal assessment*

994 OCD patients 1-3 and 5 took part in the 2-year longitudinal study. In brief, patients underwent a trial  
995 of three months of stimulation of every contact: 0, 1, 2, 3, plus a sham 3 month period of no  
996 stimulation. Order of stimulation trials was randomized across patients. At pre-operative baseline and  
997 at the end of each trial, standardized clinical evaluation, including YBOCS scoring, was performed  
998 by a psychiatrist who was blind to stimulation protocol. OCD patients 4 and 6 were not enrolled in  
999 this trial but underwent 3 months continuous monopolar NAc-DBS prior to psychiatric evaluation.

### 1000 *Brain Imaging*

1001 Pre-operative. For OCD patients operated at HCSC, a 3T Siemens TRIO system was used to acquire  
1002 MPRAGE T1-weighted anatomical images with 1 mm<sup>3</sup> resolution (repetition time (TR), 2300 ms;  
1003 echo time (TE), 2.98 ms; flip angle (FA), 9°) and echo planar diffusion-weighted images (DWI).  
1004 DWI acquisition was based on parameters used in previous probabilistic tractography studies of the  
1005 basal ganglia<sup>74</sup>. Each volume consisted of 40 axial slices of 2.3mm thickness with no interslice gaps  
1006 and an acquisition matrix of 96 x 96 in a field of view (FoV) of 220 x 100 mm, resulting in 2.3 x 2.3  
1007 x 2.3 mm<sup>3</sup> isotropic voxels (TR, 5800 ms; TE, 103 ms; flip angle, 90°; bandwidth, 2004 Hz/pixel). In  
1008 order to increase the SNR, we acquired two contiguous sequences of 128 diffusion-weighted images.  
1009 Each dataset consisted of 64 images with diffusion gradients applied along 64 noncollinear encoding

1010 directions for two different diffusion sensitization strengths ( $b = 500, 1000 \text{ s/mm}^2$ ), and one  
 1011 additional image with no diffusion weighting ( $b = 0 \text{ s/mm}^2$ ). For patient AN1, a 3T Siemens  
 1012 MAGNETOM Prisma system was used to acquire sagittal T1-weighted anatomical images with  
 1013  $0.977 \times 0.977 \times 0.9 \text{ mm}^3$  resolution (TR, 700 ms; TE, 12 ms; FA,  $120^\circ$ ).  
 1014 All other patients underwent preoperative 1.5T MRI scanning (General Electric; GEHC, Waukesha,  
 1015 USA) Signa HDxt,; 2D coronal FSE with TR 686, TE 10.65, flip angle  $90^\circ$ ).  
 1016 Post-operative electrode reconstruction. Postoperative electrode localizations of all patients were  
 1017 carried out using the software *Lead DBS*<sup>43, 75</sup> and the default parameters of its pipeline. In short,  
 1018 postoperative images (CT scans in 13 patients, MRI scans in three patients) were co-registered to  
 1019 preoperative MRI scans and normalized into MNI space (ICBM 2009b NLIN Asym<sup>76</sup>) using  
 1020 Advanced Normalization Tools (ANTs; <http://stnava.github.io/ANTs/><sup>94</sup>). When necessary, manual  
 1021 refinements were performed after visual inspection. To correct for a nonlinear deformation of the  
 1022 brain during surgery, a brain-shift correction method, introduced by Schönecker<sup>77</sup> was applied.  
 1023 Electrodes were pre-localized either manually or using the automatic *Precise and Convenient*  
 1024 *Electrode Reconstruction for Deep Brain Stimulation* (PaCER)<sup>78</sup> approach, followed by a manual,  
 1025 refining localization. **Fig. S1** illustrates the electrode placements of all participating patients and **Fig.**  
 1026 **S6** provides intersections between surrounding anatomical structures and the volumes of activated  
 1027 tissue (VAT), i.e. the approximate surrounding tissue modulated by DBS (estimated using the  
 1028 toolbox *Lead Group*<sup>79</sup>). Briefly, a finite element method (FEM) approach was applied<sup>43, 80</sup>. Using the  
 1029 Iso2Mesh toolbox (<http://iso2mesh.sourceforge.net/>) a tetrahedral volume is generated to construct a  
 1030 volume conductor model with the conductivity values of 0.33 and 0.14 S/mm for grey and white  
 1031 matter, respectively, which are commonly used<sup>81-84</sup>. Based on this model and on the amplitude of the  
 1032 active electrode contacts, the potential distribution generated by DBS is simulated employing the  
 1033 FieldTrip-SimBio pipeline (<https://www.mrt.uni-jena.de/simbio/index.php/>;  
 1034 <http://fieldtriptoolbox.org>). A 7 tesla ex vivo 100-micron T1 scan

1035 (<https://openneuro.org/datasets/ds002179/versions/1.1.0;><sup>85</sup>) served as a background template.

1036 Sweetspot analysis.

1037 To determine the anatomical site of stimulation associated with optimal memory outcome, instead of  
1038 binary definitions of VATs, the vector magnitudes of electric fields (subsequently abbreviated with  
1039 E-fields), thresholded at 0.2 V/mm were used and mirrored to the other hemisphere, which increases  
1040 statistical power and is current standard practice<sup>79, 86-90</sup>. After excluding voxels covered by less than  
1041 30% of all E-fields in the group, patients' improvement scores were correlated with E-fields on a  
1042 voxel-by-voxel basis using Spearman correlations. Rank correlations were applied given non-  
1043 normality of E-field vector magnitudes. Each voxel was then color-coded by the resulting R value.  
1044 Intuitively, this voxel-wise value expresses how the degree of stimulation correlated with memory  
1045 improvement, across the group. This was done for both tasks separately and across the two tasks.  
1046 Visualization of sweetspots in 2D was performed using 3D Slicer (<https://www.slicer.org/><sup>91</sup>).  
1047 Stimulation of areas with higher R values should be associated with greater memory benefit and to  
1048 statistically validate this assumption, these sweetspots were cross-validated across the two tasks, by  
1049 calculating the sweetspot exclusively on data from one experiment to predict the ranks of memory  
1050 improvement of patients in the other sample, and *vice versa*. To do so, a sweetspot was calculated for  
1051 one memory task first. For each patient of the other memory task, a sweetspot score was calculated  
1052 by multiplying the R-values of this sweetspot with the mean of E-field vector magnitudes  
1053 intersecting with it, for each patient. These sweetspot scores were then correlated with empirical  
1054 memory improvements of the other (unseen) task.

1055 Probabilistic Tractography. For patients OCD1-7, we also employed a separate pipeline for electrode  
1056 localization and tractography in MRI native space. Brain imaging data were analysed using FSL  
1057 5.0.6 (<http://fsl.fmrib.ox.ac.uk/fsl/fslwiki/>). Non-brain tissue was removed from the pre-operative  
1058 T1-weighted images using FSL-BET. Skull stripped post-operative CT images were co-registered to  
1059 the pre-operative T1 image using FSL-FLIRT affine linear transformation. Post-operative CT images

1060 were again thresholded at an intensity of 1500 Hounsfield units to retain just the electrode artefacts  
1061 in the same orientation as the T1 image. The positions of the extreme of the lowest/highest tip of the  
1062 most ventral/dorsal contacts were visually identified. In one case it was necessary to overlay a post-  
1063 operative T1-weighted image for a correct estimation of the contact's position. We computed the  
1064 coordinates of the centroid of the contacts using trigonometric functions. A volume of activated  
1065 tissue (VAT) was defined by linearly scaling up ellipsoids centered in the contact centroids  
1066 according to a DBS spatial activation spread model<sup>92</sup>. The original size of the ellipsoids was  
1067  $a=1.93\text{mm}$ ;  $c=1.50\text{mm}$  for the deepest NAc electrode, and  $a=1.63\text{mm}$ ;  $c=1.20\text{mm}$  for the second  
1068 NAc electrodes, where  $a$  is the perpendicular radius to the electrode, and  $c$  is the transversal radius.  
1069 Our choice of volume was extrapolated (approximately) from previous characterization of the spatial  
1070 extent of axonal activation during bipolar stimulation using artificial neural networks (based on  
1071 Medtronic 3389 DBS electrode)<sup>92</sup>.

1072 DWI data were pre-processed using FSL-BET for non-brain tissue removal and FSL-FDT for eddy  
1073 currents correction. Estimation of the diffusion parameters was performed following a Bayesian  
1074 approach<sup>93</sup>, using a multi-shell model for the fitting of the parameters<sup>94</sup>. White matter connectivity  
1075 was quantified using probabilistic tractography with FSL-Probtrackx ([http://fsl.fmrib.ox.ac.uk/fsl/fsl-4.1.9/fdt/fdt\\_probtrackx.html](http://fsl.fmrib.ox.ac.uk/fsl/fsl-4.1.9/fdt/fdt_probtrackx.html)). Three tractography analyses were performed: 1. Using the bipolar  
1076 NAc VAT masks for both left and right hemispheres as seeds and the hippocampal ROIs as waypoint  
1077 and termination targets: 2. A two-step reconstruction using first the bipolar NAc VAT masks as seed  
1078 and a midbrain mask<sup>95</sup> as target, and second the midbrain mask as seed and the hippocampal ROIs as  
1079 waypoint and termination target. Patient-specific hippocampus, caudate and NAc masks were  
1080 extracted using Freesurfer 5.1.0.

1082 Tractography parameters in the *probtrackx2* tool were five-thousand pathways per voxel in the seed  
1083 ROIs, a maximum length of 2000 steps and a step-length of 0.5mm. Pathways with steps in which a  
1084 sharp angle of 60° or higher occurred were discarded. The tractography maps were transformed to

1085 probabilities, dividing by the total number of pathways in the map. Then the maps were binarized by  
1086 thresholding at a probability of 0.001 for the NAc VAT-hippocampal tractography and greater than 0  
1087 for the two-step NAc VAT-VTA-hippocampal reconstruction. In the two-steps reconstruction, the  
1088 two probability maps were averaged before thresholding.

1089 The  $b_0$  images were co-registered to the pre-operative T1 image using FSL-FLIRT affine linear  
1090 transformation and later normalized to the  $1\text{mm}^3$  T1 MNI template, using non-linear transformations  
1091 from FSL-FNIRT. These transformations were concatenated and applied to the thresholded  
1092 tractography maps. Then the two-steps tractography maps were summed across patients in order to  
1093 represent the frequency in which a voxel within a VTA mask<sup>95</sup> is reached across the group of 7  
1094 patients.

1095 For the representation of the connectivity between the VAT and the hippocampus we used  
1096 OpenWalnut software with the tool for visualization of boundary surfaces.

#### 1097 Exp 3: Sweetspot stimulation study.

1098 This experiment followed the same protocol as Exp 1, except that stimulation was delivered in  
1099 periods 4 and 6 of the encoding task. In addition, the bipolar contact pairs selected for stimulation  
1100 were those whose VATs were physically closest to the memory sweetspot defined above.

#### 1101 Exp 4: Rodent study.

##### 1102 *Animals*

1103 Data from 3 male Sprague-Dawley rats (250-300 g) are reported. Animals were purchased from  
1104 Janvier Labs (France) and maintained under a 12/12-h light/dark cycle (lights on 07:00–19:00 h) at  
1105 room temperature ( $22\pm 2$  °C), with free access to food and water. Rats were housed in groups of five  
1106 and adapted to these conditions for at least 1 week before experimental manipulation. All  
1107 experiments were approved by the local authorities (IN-CSIC) and were performed in accordance  
1108 with Spanish (law 32/2007) and European regulations (EU directive 86/609, EU decree 2001-486).

##### 1109 *Rat Neurosurgical procedure*



1110 All experiments were performed under urethane anesthesia (1.3 g/kg, i.p.). Stimulating electrodes  
1111 consisted of glass-coated carbon fiber bipolar electrodes to minimize artifacts in the MR images as  
1112 shown before<sup>96</sup>. Stimulating electrodes were implanted using standard surgical and stereotaxic  
1113 procedures<sup>50, 51, 97</sup> to target the shell of the Nucleus Accumbens (from bregma: 1.9 mm anterior, 0.8  
1114 mm lateral and 6.5 mm ventral to the dural surface)<sup>98</sup>. The final position of the bipolar carbon  
1115 electrode was verified using high resolution anatomical (T2-weighted) MR-images.

#### 1116 *Rat Deep-Brain Stimulation protocol*

1117 Charge balanced, left unilateral, bipolar stimulation at 150  $\mu$ A (3.5 V) was delivered at 130 Hz  
1118 (pulse width 60  $\mu$ s) using a constant current source and a pulse generator (STG2004, Multichannel  
1119 Systems, Reutlingen, Germany). DBS was applied in a block design (4 s ON, 26 s OFF) repeated 10  
1120 times per trial, with trials repeated 5 times per subject.

#### 1121 *MRI Experiments and Data Analysis*

1122 The MRI experiments were carried out in a horizontal 7 Tesla scanner with a 30 cm diameter bore  
1123 (Biospec 70/30, Bruker Medical, Ettlingen, Germany). The previously prepared urethane-  
1124 anesthetized animals were placed in a custom-made animal holder with adjustable bite and ear bars,  
1125 and positioned on the magnet bed. The animals were constantly supplied with 0.8 l/m O<sub>2</sub> with a face  
1126 mask and temperature kept between 37.0-37.5 °C through a water heat-pad. The temperature, heart  
1127 rate, SpO<sub>2</sub> and breathing rate were monitored throughout the session (MouseOx, Starr Life Sciences,  
1128 Oakmont, US).

1129 MRI acquisition was performed in 15 coronal slices using a GE-EPI sequence applying the following  
1130 parameters: FOV, 25 x 25 mm; slice thickness, 1 mm; matrix, 96 x 96; segments, 1; FA, 60°; TE, 15  
1131 ms; TR, 2000 ms. T2 weighted anatomical images were collected using a rapid acquisition relaxation  
1132 enhanced sequence (RARE): FOV, 25 x 25 mm; 15 slices; slice thickness, 1 mm; matrix, 192 x 192;  
1133 TE<sub>eff</sub>, 56 ms; TR, 2 s; RARE factor, 8. A 1H rat brain receive-only phase array coil with integrated  
1134 combiner and preamplifier, and no tune/no match, was employed in combination with the actively

1135 detuned transmit-only resonator (Bruker BioSpin MRI GmbH, Germany).

1136 Functional MRI data were analyzed offline using our own software developed in MATLAB, which  
1137 included Statistical Parametric Mapping package (SPM8, [www.fil.ion.ucl.ac.uk/spm](http://www.fil.ion.ucl.ac.uk/spm)) and FSL  
1138 Software. After linear detrending, temporal (0.015-0.2 Hz) and spatial filtering (3 x 3 Gaussian  
1139 kernel of 1.5 sigma) of voxel time series, a cross-correlation analysis was applied with a simple  
1140 boxcar model shifted forward in time, typically by 2 s or a boxcar convolved with a gamma  
1141 probability density function (HRF). The results were largely comparable with all methods tested.  
1142 Functional maps were generated from voxels that had a significant ( $P < 0.001$ ) component for the  
1143 model and they were clustered together in space (cluster size = 14; calculated with Monte Carlo  
1144 simulation).

1145 Regions of interest (ROIs) extracted using a rat atlas registered to the functional images<sup>99</sup> were used  
1146 to compute the amplitude of the evoked BOLD signal responses (as a percentage relative to a pre-  
1147 stimulus baseline of 6 s) and volume of brain tissue activated relative to the ROI (number of active  
1148 voxels divided by the total number of voxels in the region).

1149 **Data availability.** The behavioral data and Matlab analysis scripts that support the findings of this study  
1150 are available via OSF (<https://osf.io/cjdeh/>) with the identifier DOI 10.17605/OSF.IO/CJDEH. The DBS  
1151 MRI datasets generated and analyzed during the current study are not publicly available due to data  
1152 privacy regulations of patient data but are available from the corresponding author on reasonable request.  
1153 A mask of the memory sweetspot resulting from our analyses, as well as the Lead Group file containing  
1154 electrode coordinates is available (<https://osf.io/cjdeh/>). Supplementary Tables 4-13 contain raw  
1155 behavioral data of Exp 1 and 2 and Supplementary Figure S6 provides absolute intersections between  
1156 patients' VAT e-fields and surrounding anatomical structures. Further data that support the findings of  
1157 this study are available from the corresponding author upon reasonable request.

1158 **Code availability.** Stimulus presentation, electrode contact localization, and rodent fMRI analyses  
1159 were done using open source software packages, running on Matlab. Visual stimuli were presented  
1160 using Cogent2000 ([http://www.vislab.ucl.ac.uk/cogent\\_2000.php](http://www.vislab.ucl.ac.uk/cogent_2000.php)). Electrode contact localization

1161 was done using *Lead DBS*<sup>43, 75</sup> and FSL (<http://fsl.fmrib.ox.ac.uk/fsl/>) in case of the probabilistic  
1162 tractography analysis, with patient-specific hippocampus, caudate and NAc masks extracted using  
1163 Freesurfer 5.1.0. Rodent fMRI analyses were performed using SPM8 ([www.fil.ion.ucl.ac.uk/spm](http://www.fil.ion.ucl.ac.uk/spm))  
1164 and FSL Software.

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1250 **Table 1. Patient details.** Abbreviations, AN: anorexia nervosa; CBT: Cognitive Behavioral  
1251 Therapy; DSM-IV-TR Diagnostic and Statistical Manual of Mental Disorders, 4th Edition, Text  
1252 Revision; HCSC: Hospital Clinico San Carlos, Madrid; HUSE: Hospital Universitario Son Espases,  
1253 Palma de Mallorca; HUP: Hospital Universitario de La Princesa, Madrid; MDD: Major Depression  
1254 Disorder; NA: Not acquired; OCD: Obsessive-Compulsive Disorder; SPD: Schizoid Personality  
1255 Disorder; STAI-S: State-Trait Anxiety Inventory–State version; STAI-T: State-Trait Anxiety  
1256 Inventory–Trait version; STPP: Short-Term Psychodynamic Psychotherapy; TMS: Transcranial  
1257 Magnetic Stimulation. \* At disease onset, this patient presented with cleaning, checking and order  
1258 compulsions, which responded to pharmacotherapy. His score on the Yale–Brown Obsessive  
1259 Compulsive Scale is in the mild range, which reflects the relative insensitivity of this scale to  
1260 primarily obsessive symptomatology. † This patient scored 30 and 31 on the Hamilton Anxiety  
1261 Rating Scale (HARS) and the Hamilton Depression Rating Scale (HDRS), respectively.

Patient	1	2	3	4	5	6	7	8	9	10	11	12	13	14	AN1
<b>Hospital</b>	HCSC	HCSC	HCSC	HCSC	HCSC	HCSC	HCSC	HUP	HCSC	HCSC	HUSE	HUP	HUP	HUP	HCSC
<b>Gender</b>	f	f	f	m	m	m	m	m	m	f	m	m	m	f	f
<b>Age at surgery</b>	49	37	28	28	50	36	20	31	21	34	22	38	26	34	37
<b>Age at Onset</b>	9	9	7	10	9	8	8	23	NA	NA	11	18	11	22	9
<b>Handedness</b>	R	R	R	R-L	R	R	R	R	NA	NA	NA	NA	NA	NA	R
<b>Schooling (years)</b>	15	8	15	11	9	12	10	NA	NA	NA	NA	NA	NA	NA	18
<b>Diagnosis</b>	OCD	OCD, MDD	OCD	Axis I: OCD Axis II: SPD	OCD	OCD	OCD	OCD	OCD	OCD	OCD	OCD	OCD	OCD	AN
<b>DSM-IV-TR codes</b>	300.3	300.3/296.3	300.3	300.3	300.3/305.00	300.3/303.9/292/300.21	300.3	NA	NA	NA	NA	NA	NA	NA	307.1/296.3
<b>Comorbidity</b>	None	Unspecific recurrent depressive disorder	None	Schizotypal personality disorder	Alcohol abuse	Alcoholism/Other recreational drug dependence/Social phobia	None	None	NA	NA	NA	NA	epilepsy	NA	Depressive disorder
<b>Obsessions</b>	Contamination/Taboo thoughts (aggressive)	Symmetry/Contamination	Taboo thoughts (religious, aggressive)/Contamination/Doubts	Symmetry/Ordering/Taboo thoughts, (aggressive, sexual)	Doubts, Contamination	Taboo thoughts (religious, aggressive)	Taboo thoughts (magic thinking)/Contamination	Contamination	NA	NA	NA	NA	NA	NA	NA
<b>Compulsions</b>	Washing	Ordering/Symmetry/Washing	Cleaning/Checking	Ordering, symmetry	Checking/Cleaning	Avoiding behaviors/Checking	Ordering	Washing/Repetition	NA	NA	NA	NA	NA	NA	NA
<b>Drug therapy (mg/day)</b>	Aripiprazole/Clonazepam/Venlafaxine	Clomipramine/Sertraline/Fluvoxamine	Sertraline	Clomipramine/Sertraline/Oxipripran	Clomipramine/Diazepam	Venlafaxine/Quetiapine	Clomipramine/Sertraline/Olanzapine/Lorazepam	Fluvoxamine/Clomipramine/Olanzapine/Clonazepam	Oxipripran/Escitalopram	NA	NA	Fluvoxamine/Quetiapine/Levetiracetam/Biperiden	Amisulpride/Sertraline/Clonazepam/Clonazepam/Haloperidol/Lorazepam/Lacosamide	Clorazepate/Fluvoxamine	Desvenlafaxine/Tianeptine/Lamotrigine/Clonazepam/Gabapentin/Quetiapine/Haloperidol
<b>Other prior therapies</b>	CBT/STP/GPT	CBT	Nil	TMS	CBT	Nil	CBT	NA	NA	NA	NA	NA	NA	NA	CBT/GPT

<b>Preoperative YBOCS</b>	36	32	29	13 <sup>†</sup>	38	36	40	34	24	NA	33	34	35	35	NA
<b>%YBOCS change (Ø contact 0&amp;1)</b>	43	17	33	19	30	NA	13	NA	9	NA	39	NA	NA	26	NA
<b>Best contact (R/L)</b>	2	0/2	2/3	1	3	3	NA	2	2	NA	NA	3	8/0	2	NA
<b>% YBOCS change best contact</b>	97	25	45	23	47	63	NA	65	54	NA	NA	29	0	14	NA
<b>Beck Depression Inventory</b>	27	43	24	22	37	51	NA †	NA	NA	NA	NA	NA	NA	NA	42
<b>STAI-S</b>	40	45	44	24	53	58	NA	NA	NA	NA	NA	NA	NA	NA	46
<b>STAI-T</b>	49	42	45	40	48	60	NA	NA	NA	NA	NA	NA	NA	NA	52
<b>Clinical Global Impression-severity scale</b>	6	6	6	6	6	6	7	NA	NA	NA	NA	NA	NA	NA	7
<b>Global Assessment of Functioning</b>	30	20	25	25	25	25	25	40	NA	NA	NA	NA	NA	NA	30
<b>Electrode model</b>	3391	3391	3391	3391	3391	3391	Boston	Boston	3391	3389	3391	3391	Boston	3391	3391
<b>Experiment performed</b>	1	1	1	1 & 2	1 & 2	1 & 2	1 & 2	1 & 2	2	2	2	2	2	2	1 & 2

Patient	15	16	17
<b>Hospital</b>	HUP	HUP	HUP
<b>Gender</b>	f	m	f
<b>Age at surgery</b>	55	30	41
<b>Age at Onset</b>	25	5	22
<b>Handedness</b>	R	R	R
<b>Schooling (years)</b>	17	12	12
<b>Diagnosis</b>	OCD	OCD	OCD
<b>DSM-IV-TR codes</b>	300.3	300.3	300.3
<b>Comorbidity</b>	None	None	None
<b>Obsessions</b>	Contamination/Order	Hypochondriasis	Contamination
<b>Compulsions</b>	Washing	Checking	Washing
<b>Drug therapy (mg/day)</b>	None	None	Sertraline
<b>Other prior therapies</b>	Imipramine/Escitalopram/Clorimipramine	Risperidone/Clorazepate/Alprazolam	Quetiapine
<b>Preoperative YBOCS</b>	35	35	33
<b>%YBOCS change (Ø contact 0&amp;1)</b>	NA	NA	NA
<b>Best contact (R/L)</b>	NA	NA	NA



<b>% YBOCS change best contact</b>	NA	NA	NA
<b>Beck Depression Inventory</b>	Hamilton 17	28	17
<b>STAI-S</b>	31	43	
<b>STAI-T</b>	50	49	
<b>Clinical Global Impression- severity scale</b>	5	5	5
<b>Global Assessment of Functioning</b>	40	40	45
<b>Electrode model</b>	Boston	Boston	Boston (L) 3391 (R)
<b>Experiment performed</b>	3	3	3

## Supplementary Files

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